

On Generating Cryptographically Desirable Substitutions

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SUMMARY S(ubstitution)-boxes are quite important components of modern symmetric cryptosystems. S-boxes bring non-linearity to cryptosystems and strengthen their cryptographic security. An S-box satisfies the strict avalanche criterion (SAC), if and only if for any single input bit of the S-box, the inversion of it changes each output bit with probability one half. This paper presents some interesting properties of S-boxes and proposes an efficient and systematic means of generating arbitrary input size bijective S-boxes satisfying SAC.

1. Introduction

In 1949, Shannon⁽¹⁾ proposed the outstanding notion of “mixing transformations” which randomly distribute the meaningful messages uniformly over the set of all possible ciphertext messages. Mixing transformations could be created by alternatively applying permutations* and substitutions. In practice, a substitution (afterward, we call “S-box”) is implemented as a logic circuit or a table lookup memory and a permutation is implemented as a one-to-one wiring. S-boxes bring nonlinearity to cryptosystems and strengthen their cryptographic security.

It could be considered that published symmetric cryptosystems like DES⁽²⁾, FEAL⁽³⁾ etc. are the good design practices of the mixing transformation. In DES, the substitution is implemented as eight 6-bit input 4-bit output lookup tables. In FEAL, the arithmetic operations like cyclic rotation, addition modulo 2, etc. are used for the substitution.

In order to design the good S-box, Kam and Davida⁽⁴⁾ proposed the completeness condition that each output bit depends on all input bits of the substitution. Webster and Tavares⁽⁵⁾ introduced the strict avalanche criterion (“SAC”) in order to combine the notions of the completeness and the avalanche effect⁽⁶⁾ as explained in Sect. 2. Moreover, Forré⁽⁷⁾ discussed the Walsh spectral properties of S-boxes satisfying SAC and extended the concept of SAC to the subfunctions obtained from the original function by keeping one or more input bits constant, in order to prevent partial approximation cryptanalysis. Lloyd⁽⁸⁾ re-stated the Forrés extended SAC and counted the number of S-boxes satisfying the

criterion.

Some results^{(9),(10)} were published to design S-boxes by randomly selecting from all possible reversible transformation. However in the open literature there are sparse publications concerning the systematic design techniques for the generation of S-boxes satisfying SAC.

Thus the main purpose of this paper is to suggest the properties of S-boxes satisfying SAC and to propose the practical generation methods of S-boxes satisfying SAC.

The organization of this paper is as follows: In Sect. 2, we formally define and summarize the basic definition of the cryptographically desirable S-box. In Sect. 3, we discuss the simple generation method of S-boxes satisfying SAC. In Sect. 4, we prove some interesting theorems for S-box satisfying SAC and propose the systematic and efficient enlargement of bijective S-boxes into any input size.

2. Basic Definitions

We summarize here the formal definition of the related criteria. Let Z denote the set of integers. Also, let Z_2^n denote the n -dimensional vector space over the finite field $Z_2 = GF(2)$, and \oplus denote the addition over Z_2^n , or, the bit-wise exclusive-or.

[Definition 1] For a positive integer n , define $c_1^{(n)}, c_2^{(n)}, \dots, c_n^{(n)} \in Z_2^n$ by

$$c_1^{(n)} = [0, 0, \dots, 0, 0, 1]$$

$$c_2^{(n)} = [0, 0, \dots, 0, 1, 0]$$

$$\vdots$$

$$c_n^{(n)} = [1, 0, \dots, 0, 0, 0].$$

[Definition 2 (Completeness)] A function $f: Z_2^n \rightarrow Z_2^m$ is complete if and only if

$$\sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) > (0, \dots, 0)$$

for all i ($1 \leq i \leq n$), where both the summation and the greater-than are component-wise over Z^m .

This means that each output bit depends on all of the input bits. Thus, if it were possible to find the simplest Boolean expression for each output bit in terms

* The term permutation have been used here our preference to the term transposition.

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of the input bits, each of those expressions would have to contain all of the input bits if the function is complete. [Definition 3 (Avalanche effect)] A function $f: Z_2^n \rightarrow Z_2^m$ exhibits the avalanche effect if and only if

$$\sum_{x \in Z_2^n} wt(f(x) \oplus f(x \oplus c_i^{(n)})) = m2^{n-1}$$

for all $i(1 \leq i \leq n)$. Here $wt(\)$ denotes the Hamming weight function.

This means that an average of one half of the output bits change whenever a single input bit is complemented.

[Definition 4 (SAC, Strong S-box)] We say that a function $f: Z_2^n \rightarrow Z_2^m$ satisfies the strict avalanche criterion (SAC), or f is a strong S-box, if for all $i(1 \leq i \leq n)$ there hold the following equations:

$$\sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) = (2^{n-1}, 2^{n-1}, \dots, 2^{n-1}, 2^{n-1}). \tag{1}$$

If a function satisfies SAC, each of its output bits should change with a probability of one half whenever a single input bit is complemented. Clearly, a strong S-box is complete and exhibits the avalanche effect.

If some output bits depend on only a few input bits, then, by observing a significant number of input-output pairs such as chosen plaintext attack, a cryptanalyst might be able to detect these relations and use this information to aid the search for the key. And because any lower-dimensional space approximation of a mapping yields a wrong result in 25 % of the cases, strong S-boxes play significant roles in cryptography. Notation: For a function $f: Z_2^n \rightarrow Z_2^m$, denoted by $f_j(1 \leq j \leq m)$ the function $Z_2^n \rightarrow Z_2$ such that

$$f(x) = (f_m(x), f_{m-1}(x), \dots, f_2(x), f_1(x)).$$

We identify an element

$$z = (z_k, z_{k-1}, \dots, z_2, z_1)$$

of Z_2^k with an integer $\sum_{i=1}^k z_i 2^{i-1}$. To represent a function $f: Z_2^n \rightarrow Z_2^m$, we often use the integer tuple

$$\langle f \rangle = [f(0), f(1), f(2), \dots, f(2^n - 1)]$$

and call it the integer representation of f . This representation can be obtained by combining $\langle f_m \rangle, \langle f_{m-1} \rangle, \dots, \langle f_2 \rangle, \langle f_1 \rangle$ as

$$\langle f \rangle = \sum_{j=1}^m \langle f_j \rangle \cdot 2^{j-1}.$$

3. Properties of Strong S-box

Let us discuss the cryptographic properties of strong S-boxes or functions satisfying the strict avalanche criterion.

3.1 Some Functions Never Satisfy SAC

[Definition 5 (Linearity, Affinity)] A function f from Z_2^n into Z_2^m is affine if there exist an $n \times m$ matrix A_f over Z_2 and an m -dimensional vector b_f over Z_2 such that

$$f(x) = xA_f + b_f$$

where x denotes the indeterminate n -dimensional vector. A function f is linear if it is affine with $b_f = 0$.

It is well known⁽¹¹⁾ that any cryptosystem which implements linear or affine functions can be easily broken. This fact brings us the question: Are there linear or affine functions satisfying the strict avalanche criterion?

The answer is of course "no".

[Theorem 1] A strong S-box is neither linear nor affine.

(Proof) Kam and Davida⁽⁴⁾ showed that there are no complete affine functions, and as mentioned before a function which satisfies the strict avalanche criterion must be complete. Thus the conclusion is obvious. However, it is an easy task to give a direct proof:

Let f be an affine function:

$$f(x) = xA_f \oplus b_f.$$

Then, for each $i(1 \leq i \leq n)$ it holds that

$$\begin{aligned} \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) &= \sum_{x \in Z_2^n} xA_f \oplus b_f \oplus (x \oplus c_i^{(n)})A_f \oplus b_f \\ &= \sum_{x \in Z_2^n} c_i^{(n)} A_f. \end{aligned}$$

Because each component of the above summation has either 0 or 2^n , thus f could not satisfy the definition of the strict avalanche criterion. \square

And also it is easy to see that

[Theorem 2] For $n=1$, or 2, any bijective function f from Z_2^n into Z_2^n never satisfy the strict avalanche criterion.

(Proof) By virtue of Theorem 1 it is sufficient to show that f is affine. Since if $n=1$ any function from Z_2 into Z_2 is apparently affine, we consider the case $n=2$ in the following. When $n=2$, f can be uniquely represented by

$$f(x_2, x_1) = a_0 \oplus a_1 x_1 \oplus a_2 x_2 \oplus a_3 x_1 x_2$$

where $a_i \in Z_2^2 (i=0, 1, 2, 3)$. Thus,

$$\left. \begin{aligned} f(0) \oplus f(1) &= a_1 \\ f(0) \oplus f(2) &= a_2 \\ f(1) \oplus f(2) &= a_1 \oplus a_2 \end{aligned} \right\} \tag{2}$$

$$\left. \begin{aligned} f(2) \oplus f(3) &= a_1 \oplus a_3 \\ f(1) \oplus f(3) &= a_2 \oplus a_3 \\ f(0) \oplus f(3) &= a_1 \oplus a_2 \oplus a_3 \end{aligned} \right\} \tag{3}$$

Since f is bijective, none of the above six vectors are zero. From Eq. (2), we observe that $\mathbf{a}_1 \neq 0$, $\mathbf{a}_2 \neq 0$, $\mathbf{a}_1 \oplus \mathbf{a}_2 \neq 0$ which means that

$$\{\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_1 \oplus \mathbf{a}_2\} = \{1, 2, 3\}$$

The facts $\mathbf{a}_1 \neq \mathbf{a}_3$, $\mathbf{a}_2 \neq \mathbf{a}_3$, $\mathbf{a}_1 \oplus \mathbf{a}_2 \neq \mathbf{a}_3$ from Eq. (3) indicate that $\mathbf{a}_3 = 0$. Thus f must be affine. \square

Thus in order to obtain bijective strong S-boxes, we must treat at least quadratic function of at least three variables.

3.2 Use of Single Output Strong S-box

When $m=1$, and $n=3$ or 4 , the experiments tell us that we can easily generate many strong S-boxes $f: Z_2^n \rightarrow Z_2$ by random search on an engineering workstation (SONY NWS810) in a few microseconds. But for the case of $n \geq 5$ it becomes rather difficult to efficiently generate single output strong S-boxes in the same computational environments.

[Example 1] For $n=3$ and $m=1$,

$$\langle p \rangle = [1, 0, 1, 1, 1, 0, 0, 0],$$

$$\langle q \rangle = [1, 1, 1, 0, 0, 0, 1, 0],$$

$$\langle r \rangle = [1, 1, 0, 1, 0, 1, 0, 0]$$

are integer representations of strong S-boxes p , q and r respectively. By complementing the output bit of the single output strong S-box p , q and r , we have

$$\langle p' \rangle = [0, 1, 0, 0, 0, 1, 1, 1],$$

$$\langle q' \rangle = [0, 0, 0, 1, 1, 1, 0, 1],$$

$$\langle r' \rangle = [0, 0, 1, 0, 1, 0, 1, 1].$$

It is easy to check that all of these functions are strong S-boxes.

By the definition of the strict avalanche criterion and by the above observation, we can readily show the following.

[Theorem 3] Let g denote an affine function from Z_2^m into itself with a permutation matrix A_g and an arbitrary binary vector \mathbf{b}_g . Then, a function $f: Z_2^n \rightarrow Z_2^m$ satisfies the strict avalanche criterion if and only if the composite function $g \circ f: Z_2^n \rightarrow Z_2^m$ satisfies the strict avalanche criterion.

(Proof) Since every component of the tuple in the right-hand side of Eq. (1) is the same, a permutation of the output bits of f does not affect whether f satisfies the strict avalanche criterion. Also, when we complement any output bit(s) of f , the number of output bits from 1 to 0 and from 0 to 1 keeps constant. This completes the proof. \square

Given some single output strong S-boxes, we can generate multiple output strong S-boxes using the idea summarized in the above theorem. (However, note that a strong S-box of $m=n$ generated by this method is not guaranteed to be bijective.)

[Example 2] The 3-input 3-output S-box f defined by

$$f(\mathbf{x}) = (r(\mathbf{x}), p(\mathbf{x}), q'(\mathbf{x}))$$

is strong, i. e., satisfies the strict avalanche criterion. Since

$$\langle r \rangle = [1, 1, 0, 1, 0, 1, 0, 0],$$

$$\langle p \rangle = [1, 0, 1, 1, 1, 0, 0, 0],$$

$$\langle q' \rangle = [0, 0, 0, 1, 1, 1, 0, 1],$$

then, the integer representation of f is

$$\langle r \rangle \cdot 4 + \langle p \rangle \cdot 2 + \langle q' \rangle = [6, 4, 2, 7, 3, 5, 0, 1].$$

Thus we can conclude this section by describing that there are no difficulties to efficiently generate many strong S-boxes up to the 4-bit input case.

4. Enlargement of Strong S-box

4.1 Construction

Next we discuss the expandable properties of strong S-boxes and present the constructive methods of generating strong S-boxes of arbitrary n and m .

Let us construct $(n+1)$ -bit input S-boxes using n -bit input S-boxes.

[Definition 6] For a function $f: Z_2^n \rightarrow Z_2$, an integer $k \in \{1, 2, \dots, n\}$ and a constant $a \in Z_2$, define a function $D_a^k[f]: Z_2^{n+1} \rightarrow Z_2$ by

$$D_a^k[f](0, \mathbf{x}) = f(\mathbf{x})$$

$$D_a^k[f](1, \mathbf{x}) = f(\mathbf{x} \oplus \mathbf{c}_k^{(n)}) \oplus a$$

for all $\mathbf{x} \in Z_2^n$.

[Definition 7] For a function $f: Z_2^n \rightarrow Z_2^n$ such that

$$f(\mathbf{x}) = (f_n(\mathbf{x}), f_{n-1}(\mathbf{x}), \dots, f_1(\mathbf{x})),$$

and a function $g: Z_2^n \rightarrow Z_2$ and an integer $k \in \{1, 2, \dots, n\}$, define the function $E^k[g, f]: Z_2^{n+1} \rightarrow Z_2^{n+1}$ by

$$E^k[g, f](\mathbf{y}) = (D^k[g](\mathbf{y}), D^k[f_n](\mathbf{y}), D^k[f_{n-1}](\mathbf{y}), \dots, D^k[f_1](\mathbf{y}))$$

for all $\mathbf{y} \in Z_2^{n+1}$.

We can show that the constructed S-boxes have nice properties.

[Theorem 4] If a function $f: Z_2^n \rightarrow Z_2$ satisfies the strict avalanche criterion, then for any $k \in \{1, 2, \dots, n\}$ and any $a \in Z_2$, $D_a^k[f]$ also satisfies the strict avalanche criterion.

(Proof) Since f satisfies the strict avalanche criterion, it holds that

$$\sum_{\mathbf{x} \in Z_2^n} f(\mathbf{x}) \oplus f(\mathbf{x} \oplus \mathbf{c}_i^{(n)}) = 2^{n-1}$$

for any $i \in \{1, 2, \dots, n\}$. Thus it also holds that

$$\sum_{\mathbf{x} \in Z_2^n} f(\mathbf{x}) \oplus f(\mathbf{x} \oplus \mathbf{c}_i^{(n)}) \oplus 1$$

$$\begin{aligned} &= 2^n - \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) \\ &= 2^n - 2^{n-1} \\ &= 2^{n-1} \end{aligned}$$

To prove the theorem, we denote $D_a^k[f]$ by g and show that for any $i \in \{1, 2, \dots, n+1\}$.

$$\sum_{y \in Z_2^{n+1}} g(y) \oplus g(y \oplus c_i^{(n+1)}) = 2^n$$

(Case 1) $i \in \{1, 2, \dots, n\}$.

$$\begin{aligned} &\sum_{y \in Z_2^{n+1}} g(y) \oplus g(y \oplus c_i^{(n+1)}) \\ &= \sum_{x \in Z_2^n} g(0, x) \oplus g(0, x \oplus c_i^{(n)}) \\ &\quad + \sum_{x \in Z_2^n} g(1, x) \oplus g(1, x \oplus c_i^{(n)}) \\ &= \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) \\ &\quad + \sum_{x \in Z_2^n} (f(x \oplus c_k^{(n)}) \oplus a) \oplus (f((x \oplus c_i^{(n)}) \oplus c_k^{(n)}) \oplus a) \\ &= \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) \\ &\quad + \sum_{x \in Z_2^n} f(x \oplus c_k^{(n)}) \oplus f((x \oplus c_i^{(n)}) \oplus c_k^{(n)}) \\ &= 2 \cdot \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_i^{(n)}) \\ &= 2 \cdot 2^{n-1} \\ &= 2^n \end{aligned}$$

(Case 2) $i = n+1$

$$\begin{aligned} &\sum_{y \in Z_2^{n+1}} g(y) \oplus g(y \oplus c_{n+1}^{(n+1)}) \\ &= \sum_{x \in Z_2^n} g(0, x) \oplus g(1, x) + \sum_{x \in Z_2^n} g(1, x) \oplus g(0, x) \\ &= 2 \cdot \sum_{x \in Z_2^n} g(0, x) \oplus g(1, x) \\ &= 2 \cdot \sum_{x \in Z_2^n} f(x) \oplus f(x \oplus c_k^{(n)}) \oplus a \\ &= 2 \cdot 2^{n-1} \\ &= 2^n \end{aligned}$$

Thus, we complete the proof. □

[Theorem 5] For a bijection $f: Z_2^n \rightarrow Z_2^n$, a function $g: Z_2^n \rightarrow Z_2$, and an integer $k \in \{1, 2, \dots, n\}$ the function $E^k[g, f]: Z_2^{n+1} \rightarrow Z_2^{n+1}$ is bijective.

(Proof) By the definition of $E^k[g, f]$ we have for any $x \in Z_2^n$,

$$\begin{aligned} E^k[g, f](0, x) &= (g(x), f(x)), \\ E^k[g, f](1, x \oplus c_k^{(n)}) &= (g(x) \oplus 1, f(x)). \end{aligned}$$

For any $u \in Z_2^n$ and $v \in Z_2^n$, let

$$\begin{aligned} A(u, v) &= E^k[g, f](0, u) \oplus E^k[g, f](0, v), \\ B(u, v) &= E^k[g, f](1, u \oplus c_k^{(n)}) \oplus E^k[g, f](1, v \oplus c_k^{(n)}), \\ C(u, v) &= E^k[g, f](0, u) \oplus E^k[g, f](1, v \oplus c_k^{(n)}). \end{aligned}$$

We have

$$\begin{aligned} A(u, v) &= B(u, v) \\ &= (g(u) \oplus g(v), f(u) \oplus f(v)), \\ C(u, v) &= (g(u) \oplus g(v) \oplus 1, f(u) \oplus f(v)). \end{aligned}$$

Since f is bijective, $f(u) \oplus f(v) = 0$ if and only if $u = v$. Therefore, if $u \neq v$, we have $A(u, v) = B(u, v) \neq (0, 0)$ and $C(u, v) \neq (0, 0)$. And if $u = v$, we have $A(u, v) = B(u, v) = (0, 0)$ and $C(u, v) = (1, 0) \neq (0, 0)$. Thus, $A(u, v)$ and $B(u, v)$ equals to zero if and only if $u = v$, and $C(u, v)$ never equals to zero for any u and v . These facts show that for any $s \in Z_2^{n+1}$ and $t \in Z_2^{n+1}$, $E^k[g, f](s) = E^k[g, f](t)$ if and only if $s = t$, in other words, that $E^k[g, f]$ is bijective.

[Theorem 6] If both a bijection $f: Z_2^n \rightarrow Z_2^n$ and a function $g: Z_2^n \rightarrow Z_2$ satisfy the strict avalanche criterion, then for any integer $k \in \{1, 2, \dots, n\}$, the function $E^k[g, f]: Z_2^{n+1} \rightarrow Z_2^{n+1}$ is a bijection satisfying the strict avalanche criterion.

(Proof) This theorem follows directly from Theorems 4 and 5. □

[Remark] Define $f_i: Z_2^n \rightarrow Z_2$ ($i = 1, 2, \dots, n$) by

$$f_i(x) = (f_n(x), f_{n-1}(x), \dots, f_1(x))$$

from the bijection $f: Z_2^n \rightarrow Z_2^n$ satisfying the strict avalanche criterion. Noting that f_i satisfies the strict avalanche criterion, Theorem 6 tells us that given a bijection $f: Z_2^n \rightarrow Z_2^n$ satisfies the strict avalanche criterion we can construct a bijection $E^k[f_i, f]: Z_2^{n+1} \rightarrow Z_2^{n+1}$ satisfying the strict avalanche criterion using only f . □

By using these construction methods, we can generate strong S-boxes in an efficient and systematic way. Next section we give some examples.

4.2 Examples

Here we give detailed examples to generate strong S-boxes.

[Example 3] A function $f: Z_2^3 \rightarrow Z_2$ which satisfies the strict avalanche criterion is given as below:

$$\langle f \rangle = [1, 1, 0, 0, 0, 1, 0, 1].$$

Then,

$$\langle D_1^0[f] \rangle = [1, 1, 0, 0, 0, 1, 0, 1, 1, 1, 0, 0, 1, 0, 1, 0],$$

$$\langle D_1^1[f] \rangle = [1, 1, 0, 0, 0, 1, 0, 1, 0, 0, 1, 1, 0, 1, 0, 1].$$

By Theorem 4, these expanded functions also satisfy the strict avalanche criterion.

[Example 4] When a strong S-box $g: Z_2^3 \rightarrow Z_2$ is $[1, 0, 0, 0, 1, 1, 0, 1]$ and a bijective strong S-box $f: Z_2^3 \rightarrow Z_2^3$ is $[3, 1, 4, 0, 2, 5, 6, 7]$,

$$\langle D_1^1[g] \rangle = [1, 0, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0, 0, 0, 1]$$

and

$$\langle D_0^1[f] \rangle = [3, 1, 4, 0, 2, 5, 6, 7, 1, 3, 0, 4, 5, 2, 7, 6].$$

By Theorem 6, we can get a strong bijective S-box.

$$\langle E^3[g, f] \rangle = [11, 1, 4, 0, 10, 13, 6, 15, 9, 3, 8, 12, 5, 2, 7, 14].$$

By the same way, we can get 6-bit input bijective strong S-boxes,

$$[4, 53, 16, 57, 43, 45, 2, 6, 12, 55, 63, 33, 8, 26, 30, 51, 37, 20, 41, 0, 61, 59, 22, 18, 39, 28, 49, 47, 10, 24, 35, 14, 21, 36, 25, 48, 13, 11, 38, 34, 23, 44, 1, 31, 58, 40, 19, 62, 52, 5, 32, 9, 27, 29, 50, 54, 60, 7, 15, 17, 56, 42, 46, 3]$$

and

$$[36, 21, 48, 57, 43, 45, 2, 38, 12, 23, 63, 1, 8, 58, 30, 19, 37, 20, 9, 0, 29, 27, 22, 50, 39, 60, 49, 15, 10, 56, 35, 46, 53, 4, 25, 16, 13, 11, 6, 34, 55, 44, 33, 31, 26, 40, 51, 62, 52, 5, 32, 41, 59, 61, 18, 54, 28, 7, 47, 17, 24, 42, 14, 3].$$

Therefore, we can generate arbitrary input size bijective strong S-boxes if we find 3-bit input bijective strong S-boxes.

5. Concluding Remarks

We have summarized the cryptographic desired criteria for S-boxes of symmetric cryptosystems and proved several interesting theorems of strong S-boxes. Moreover, we proposed the systematic and efficient enlargement of bijective S-boxes into an arbitrary input size.

Next problem is when we combine the generated strong S-boxes with a permutation, to design the cryptographically desirable permutation.

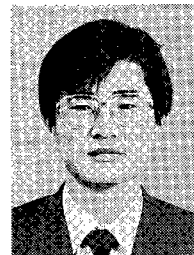
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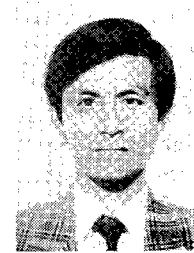
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