## Week 9-2: Number Theory

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- Prime and Relative Prime Numbers
- Modular Arithmetic
- Fermat's and Euler's Theorem
- Extended Euclid's Algorithm


## Divisors

- b/a ("b divides a", "b is a divisor of a") if $a=k b$ for some $k$, where $a, b$, and $k$ are integers, and $b \neq 0$
- If $a / 1$, then $a= \pm 1$
- If $a / b$ and $b / a$, then $a= \pm b$
- Any $b \neq 0$ divides 0
- If $b / g$ and $b / h$, then $b /(m g+n h)$ for arbitrary integers $m$ and $n$


## Prime Numbers

- An integer $p>1$ is a prime number if its only divisors are $\pm 1$ and $\pm p$
- Prime Factorization
- Any integer a>1 can be factored in a unique way as
$a=p_{1}^{\alpha 1} p_{2}^{\alpha 2} \ldots p_{t}^{\alpha t}$ where $p_{1}<p_{2}<\ldots<p_{t}$ are prime number $s$ and where each $\alpha_{i}>0$
- If $P$ denotes the set of all prime numbers, then any positive integer can be written uniquely in the following form

$$
a=\prod p^{a_{p}} \text { where each } a_{p} \geq 0
$$

- Multiplication of two numbers is equivalent to adding two corresponding exponents:
- $k=m n \rightarrow k_{p}=m_{p}+n_{p}$ for all $p$
- $a / b \rightarrow a_{p} \leq b_{p}$ for all $p$


## Primes less than 2000, How many ?

| 2 | 101 | 211 | 307 | 401 | 503 | 601 | 701 | 809 | 907 | 1009 | 1103 | 1201 | 1301 | 1409 | 1511 | 1601 | 1709 | 1801 | 1901 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 103 | 223 | 311 | 409 | 509 | 607 | 709 | 811 | 911 | 1013 | 1109 | 1213 | 1303 | 1423 | 1523 | 1607 | 1721 | 1811 | 1907 |
| 5 | 107 | 227 | 313 | 419 | 521 | 613 | 719 | 821 | 919 | 1019 | 1117 | 1217 | 1307 | 1427 | 1531 | 1609 | 1723 | 1823 | 1913 |
| 7 | 109 | 229 | 317 | 421 | 523 | 617 | 727 | 823 | 929 | 1021 | 1123 | 1223 | 1319 | 1429 | 1543 | 1613 | 1733 | 1831 | 1931 |
| 11 | 113 | 233 | 331 | 431 | 541 | 619 | 733 | 827 | 937 | 1031 | 1129 | 1229 | 1321 | 1433 | 1549 | 1619 | 1741 | 1847 | 1933 |
| 13 | 127 | 239 | 337 | 433 | 547 | 631 | 739 | 829 | 941 | 1033 | 1151 | 1231 | 1327 | 1439 | 1553 | 1621 | 1747 | 1861 | 1949 |
| 17 | 131 | 241 | 347 | 439 | 557 | 641 | 743 | 839 | 947 | 1039 | 1153 | 1237 | 1361 | 1447 | 1559 | 1627 | 1753 | 1867 | 1951 |
| 19 | 137 | 251 | 349 | 443 | 563 | 643 | 751 | 853 | 953 | 1049 | 1163 | 1249 | 1367 | 1451 | 1567 | 1637 | 1759 | 1871 | 1973 |
| 23 | 139 | 257 | 353 | 449 | 569 | 647 | 757 | 857 | 967 | 1051 | 1171 | 1259 | 1373 | 1453 | 1571 | 1657 | 1777 | 1873 | 1979 |
| 29 | 149 | 263 | 359 | 457 | 571 | 653 | 761 | 859 | 971 | 1061 | 1181 | 1277 | 1381 | 1459 | 1579 | 1663 | 1783 | 1877 | 1987 |
| 31 | 151 | 269 | 367 | 461 | 577 | 659 | 769 | 863 | 977 | 1063 | 1187 | 1279 | 1399 | 1471 | 1583 | 1667 | 1787 | 1879 | 1999 |
| 37 | 157 | 271 | 373 | 463 | 587 | 661 | 773 | 877 | 983 | 1069 | 1193 | 1283 |  | 1481 | 1597 | 1669 | 1789 | 1889 | 1997 |
| 41 | 163 | 277 | 379 | 467 | 593 | 673 | 787 | 881 | 991 | 1087 |  | 1289 |  | 1483 |  | 1693 |  |  | 1999 |
| 43 | 167 | 281 | 383 | 479 | 599 | 677 | 797 | 883 | 997 | 1091 |  | 1291 |  | 1487 |  | 1697 |  |  |  |
| 47 | 173 | 283 | 389 | 487 |  | 683 |  | 887 |  | 1093 |  | 1297 |  | 1489 |  | 1699 |  |  |  |
| 53 | 179 | 293 | 397 | 491 |  | 691 |  |  |  | 1097 |  |  |  | 1493 |  |  |  |  |  |
| 59 | 181 |  |  | 499 |  |  |  |  |  |  |  |  |  | 1499 |  |  |  |  |  |
| 61 | 191 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 67 | 193 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 71 | 197 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 73 | 199 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 79 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 83 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 89 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 97 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

(Note) The \# of prime numbers less than $x$ is about $x / \ln (x)$.

## Relatively Prime Numbers

- Greatest Common Divisor
$-c=\operatorname{gcd}(a, b)$ if $c / a$ and $c / b$ and $\forall d$ that divides $a$ and $b: d / c$
- Equivalently, $\operatorname{gcd}(a, b)=\max \{c: c / a$ and $c / b\}$
- $k=\operatorname{gcd}(a, b) \rightarrow k_{p}=\min \left(a_{p}, b_{p}\right)$ for all $p$
- $a$ and $b$ are relatively prime if $\operatorname{gcd}(a, b)=1$


## Modular Arithmetic

- For any integer a and positive integer $n$, if a is divided by $n$, the fo llowing relationship holds:
- $a=q n+r \quad 0 \leq r \leq n ; q=\lfloor a / n\rfloor$ ( $q:$ quotient, $r$ : remainder or residue)
- If a is an integer and $n$ is a positive integer, a mod $n$ is defined to be the remainder when a is divided by $n$
$-a=\lfloor a / n\rfloor \times n+(a \bmod n)$
- Two integers $a$ and $b$ are said to be congruent modulo $n$ if (a $\bmod n)=(b \bmod n)$, and this is written $a \equiv b \bmod n$
- Properties of modulo operator
- $a \equiv b \bmod n$ if $n /(a-b)$
- $(a \bmod n)=(b \bmod n)$ implies $a \equiv b \bmod n$
- $a \equiv b \bmod n$ implies $b \equiv a \bmod n$
$-a \equiv b \bmod n$ and $b \equiv c \bmod n$ implies $a \equiv c \bmod n$


## Groups, Rings, Fields

- Group
- A set of numbers with some addition operation whose result is also in the set (closure).
- Obeys associative law, has an identity, has inverses.
- If group is commutative, we say Abelian group. Otherwise Non-Abelian group
- Ring
- Abelian group with a multiplication operation.
- Multiplication is associative and distributive over addition.
- If multiplication is commutative, we say a commutative ring.
- e.g., integers mod $N$ for any $N$.
- Field
- An Abelian group for addition.
- A ring.
- An Abelian group for multiplication (ignoring 0).
- e.g., integers mod $P$ where $P$ is prime.


## Modular Arithmetic Operatio

- Modulo arithmetic operation ove $\mathbf{1} \mathbf{S}=\{0,1, \ldots, n-1\}$
- Properties
- $[(a \bmod n)+(b \bmod n)] \bmod n=(a+b) \bmod n$
- $[(a \bmod n)-(b \bmod n)] \bmod n=(a-b) \bmod n$
- $[(a \bmod n) \times(b \bmod n)] \bmod n=(a \times b) \bmod n$

Table 7.2 Arithmetic Modulo 8

| $+$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 0 |
| 2 | 2 | 3 | 4 | 5 | 6 | 7 | 0 | 1 |
| 3 | 3 | 4 | 5 | 6 | 7 | 0 | 1 | 2 |
| 4 | 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 |
| 5 | 5 | 6 | 7 | 0 | 1 | 2 | 3 | 4 |
| 6 | 6 | 7 | 0 | 1 | 2 | 3 | 4 | 5 |
| 7 | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |

(a) Addition modulo 8

| $\times$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 2 | 0 | 2 | 4 | 6 | 0 | 2 | 4 | 6 |
| 3 | 0 | 3 | 6 | 1 | 4 | 7 | 2 | 5 |
| 4 | 0 | 4 | 0 | 4 | 0 | 4 | 0 | 4 |
| 5 | 0 | 5 | 2 | 7 | 4 | 1 | 6 | 3 |
| 6 | 0 | 6 | 4 | 2 | 0 | 6 | 4 | 2 |
| 7 | 0 | 7 | 6 | 5 | 4 | 3 | 2 | 1 |

(b) Multiplication modulo 8

## Properties of Modular Arithmetic

- Modulo arithmetic over $Z_{n}=\{0,1, \ldots, n-1\}$ (called a set of residues of modulo $n$ )
- Integers modulo n with addition and multiplication form a commutative ring
- Commutative laws
- Associative laws
- Distributive laws
- Identities
- Additive inverse (-a)
- Multiplicative inverse $\left(a^{-1}\right)$
- (Note) If $n$ is not prime, $Z_{n}$ is a ring, but not a field. $z_{p}$ is a field if $p$ is prime.


## Modular 7 Arithmetic


(a) Addition modulo 7

| $\times$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| 2 | 0 | 2 | 4 | 6 | 1 | 3 | 5 |
| 3 | 0 | 3 | 6 | 2 | 5 | 1 | 4 |
| 4 | 0 | 4 | 1 | 5 | 2 | 6 | 3 |
| 5 | 0 | 5 | 3 | 1 | 6 | 4 | 2 |
| 6 | 0 | 6 | 5 | 4 | 3 | 2 | 1 |

(b) Multiplication modulo 7

| $w$ | $-w$ | $w^{-1}$ |
| :---: | :---: | :---: |
| 0 | 0 | - |
| 1 | 6 | 1 |
| 2 | 5 | 4 |
| 3 | 4 | 5 |
| 4 | 3 | 2 |
| 5 | 2 | 3 |
| 6 | 1 | 6 |

(c) Additive and multiplicative inverses modulo 7

## Fermat's Little Theorem

- If p is prime and a is a positive integer not divisible by $p$, then

$$
a^{p-1} \equiv 1 \bmod p
$$

- Proof
- Start by listing the first $p-1$ positive multiples of a:

$$
a, 2 a, 3 a, \ldots,(p-1) a
$$

Suppose that ra and sa are the same modulo $p$, then we have $\equiv s$ mod $p$, so the $p-1$ multiples of a above are distinct and nonzero; that is, they must be congruent to $1,2,3, \ldots, p-1$ in some order. Multiply all these congruences together and we find
$a \times 2 a \times 3 a \times \ldots \times(p-1) a \equiv 1 \times 2 \times 3 \times \ldots \times(p-1) \bmod p$ or better,
$a^{p-1}(p-1)!\equiv(p-1)!$ mod $p$. Divide both side by (p-1)! . qed.

- Corollary
- If $p$ is prime and $a$ is any positive integer, then

$$
a^{p} \equiv a \bmod p
$$

## Euler's Totient Function (1/2)

- Euler's totient function $\phi(n)$ is the number of positive integers less than $n$ (including 1) and relatively prime to $n$

$$
\phi(p)=p-1 \text { where } p \text { is prime. }
$$

- (Definition) $\phi(1)=1$
- Let $p$ and $q$ be distinct prime numbers, $n=p \times q$, then $\phi(p \times q)=\phi(p) \phi(q)=(p-1)(q-1)$
- Proof
- Consider $Z_{n}=\{0,1, \ldots, p q-1\}$
- The residues not relatively prime to $n$ are $0,\{p, 2 p, \ldots,(q-1) p\}$, and $\{q, 2 q, \ldots,(p-1) q\}$
- So $\phi(p q)=p q-(1+(q-1)+(p-1))=p q-p-q+1=(p-1)(q-1)$


## Euler's Totient Function (2/2)

Table 7.4 Some Values of Euler's Totient Function $\phi(n)$

| $n$ | $\phi(n)$ |
| :---: | :---: |
| 1 | 1 |
| 2 | 1 |
| 3 | 2 |
| 4 | 2 |
| 5 | 4 |
| 6 | 2 |
| 7 | 6 |
| 8 | 4 |
| 9 | 6 |
| 10 | 4 |$\quad$| $n$ | $\phi(n)$ |
| :---: | :---: |
| 11 | 10 |
| 12 | 4 |
| 13 | 12 |
| 14 | 6 |
| 15 | 8 |
| 16 | 8 |
| 17 | 16 |
| 18 | 6 |
| 19 | 18 |
| 20 | 8 |$\quad$| $n$ | $\phi(n)$ |
| :---: | :---: |
| 21 | 12 |
| 22 | 10 |
| 23 | 22 |
| 24 | 8 |
| 25 | 20 |
| 26 | 12 |
| 27 | 18 |
| 28 | 12 |
| 29 | 28 |
| 30 | 8 |

## Euler's Theorem (1/2)

- Generalization of Fermat's little theorem
- For every a and $n$ that are relatively prime, $a^{\phi(n)} \equiv 1 \bmod n$
- Proof
- The proof is completely analogous to that of the Fermat's Theorem except that instead of the set of residues $\{1,2, \ldots, n-1\}$ we now consider the set of residues $\left\{x_{1}, x_{2}, \ldots, x_{\phi(n)}\right\}$ which are relatively prime to $n$. In exactly the same manner as before, multiplication by a modulo $n$ results in a permutation of the set $\left\{x_{1}, x_{2} \ldots, x_{\phi(n)}\right\}$. Therefore, two products are congruent:
$x_{1} x_{2} \ldots x_{\phi(n)} \equiv\left(a x_{1}\right)\left(a x_{2}\right) \ldots\left(a x_{\phi(n)}\right) \bmod n$
dividing by the left-hand side proves the theorem.
- Corollary
$a^{\phi(n)+1} \equiv a \bmod n$


## Euler's Theorem (2/2)

- Corollaries
- Given two prime numbers, $p$ and $q$, and integers $n=p q$ and $m$, with $0<m<n$,

$$
m^{\phi(n)+1}=m^{(p-1)(q-1)+1} \equiv m \bmod n
$$

(Demonstrate the validity of the RSA algorithm)

$$
\begin{aligned}
& m^{k \phi(n)} \equiv 1 \bmod n \\
& m^{k \phi(n)+1} \equiv m \bmod n
\end{aligned}
$$

## Euclid's Algorithm: Finding GCD(1/2)

- Based on the following theorem
$-\operatorname{gcd}(a, b)=\operatorname{gcd}(b, a \bmod b)$
- Proof
- If $d=\operatorname{gcd}(a, b)$, then $d / a$ and $d / b$
- For any positive integer $b, a=k b+r \equiv r \bmod b, a \bmod b=r$
- $a \bmod b=a-k b$ (for some integer $k$ )
- because d/b, d/kb
- because d/a, d/(a mod b)
$\therefore d$ is a common divisor of $b$ and ( $a \bmod b$ )
- Conversely, if $d$ is a common divisor of $b$ and (a mod b), then d/kb and d/[ kb+(a mod b)]
- $d /[k b+(a \bmod b)]=d / a$
$\therefore$ Set of common divisors of $a$ and $b$ is equal to the set of common divisors of $b$ and ( $a$ mod b)
- ex) $\operatorname{gcd}(18,12)=\operatorname{gcd}(12,6)=\operatorname{gcd}(6,0)=6$ $\operatorname{gcd}(11,10)=\operatorname{gcd}(10,1)=\operatorname{gcd}(1,0)=1$


## Euclid's Algorithm: Finding GCD( 2/2) <br> - Recursive algorithm

Function Euclid ( $a, b$ ) /* assume $a \geq b \geq 0$ */
if $b=0$ then return $a$
e/se return Euclid(b, a mod b)

- Iterative algorithm

$$
\begin{aligned}
& \text { Euclid }(d, f) \quad / * \text { assume } d>f>0 * / \\
& \text { 1. } X \leftarrow d ; Y \leftarrow f \\
& \text { 2. if } Y=0 \text { return } X=\operatorname{gcd}(d, f) \\
& \text { 3. } R=X \bmod Y \\
& \text { 4. } X \leftarrow Y \\
& \text { 5. } Y \leftarrow R \\
& \text { 6. } \text { goto } 2
\end{aligned}
$$

## Extended Euclid's Alg. : Finding Multiplicative Inverse(1/2)

- If $\operatorname{gcd}(d, f)=1, d$ has a multiplicative inverse modulo $f$
- Euclid's algorithm can be extended to find the multiplicative inverse
- In addition to finding $\operatorname{gcd}(d, f)$, if the $\operatorname{gcd}$ is 1 , the algorithm returns multiplicative inverse of $d$ (modulo f)

```
    Extended Euclid(d, f)
1. \(\left(X_{1}, X_{2}, X_{3}\right) \leftarrow(1,0, f) ;\left(Y_{1}, Y_{2}, Y_{3}\right) \leftarrow(0,1, d)\)
2. If \(Y_{3}=0\) return \(X_{3}=\operatorname{gcd}(d, f)\); no inverse
3. If \(Y_{3}=1\) return \(Y_{3}=\operatorname{gcd}(d, f) ; Y_{2}=d^{-1} \bmod f\)
4. \(Q=\left\langle X_{3} / Y_{3}\right)\)
5. \(\left(T_{1}, T_{2}, T_{3}\right) \leftarrow\left(X_{1}-Q Y_{1}, X_{2}-Q Y_{2}, X_{3}-Q Y_{3}\right)\)
6. \(\left(X_{1}, X_{2}, X_{3}\right) \leftarrow\left(Y_{1}, Y_{2}, Y_{3}\right)\)
7. \(\left(Y_{1}, Y_{2}, Y_{3}\right) \leftarrow\left(T_{1}, T_{2}, T_{3}\right)\)
8. goto 2
```

Note: Always $f \times Y_{1}+d \times Y_{2}=Y_{3}$

## Extended Euclid's Alg. : Finding Multiplicative Inverse(2/2)

Table 7.5 Extended-Euclid $(550,1769)$

| $\mathbf{Q}$ | $\mathbf{X}_{\mathbf{1}}$ | $\mathbf{X}_{\mathbf{2}}$ | $\mathbf{X}_{\mathbf{3}}$ | $\mathbf{Y}_{\mathbf{1}}$ | $\mathbf{Y}_{\mathbf{2}}$ | $\mathbf{Y}_{\mathbf{3}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| - | 1 | 0 | 1769 | 0 | 1 | 550 |
| 3 | 0 | 1 | 550 | 1 | -3 | 119 |
| 4 | 1 | -3 | 119 | -4 | 13 | 74 |
| 1 | -4 | 13 | 74 | 5 | -16 | 45 |
| 1 | 5 | -16 | 45 | -9 | 29 | 29 |
| 1 | -9 | 29 | 29 | 14 | -45 | 16 |
| 1 | 14 | -45 | 16 | -23 | 74 | 13 |
| 1 | -23 | 74 | 13 | 37 | -119 | 3 |
| 4 | 37 | -119 | 3 | -171 | 550 | 1 |

Note: Extended ( $d, f$ ) yields $f \times Y_{1}+d \times Y_{2}=Y_{3}$
-> 769*(-171) $+550 * 550=1$

