

# **Week 3 :Classical & Mechanical Ciphers**

**When and how long it was begun?  
Why?**

# History of Cryptologic Research(1/3)

1900BC : Non-standard hieroglyphics

1500BC : Mesopotamian pottery glazes

50BC : Caesar cipher

1518 : Trithemius' cipher book

1558 : Keys invented

1583 : Vigenere's book

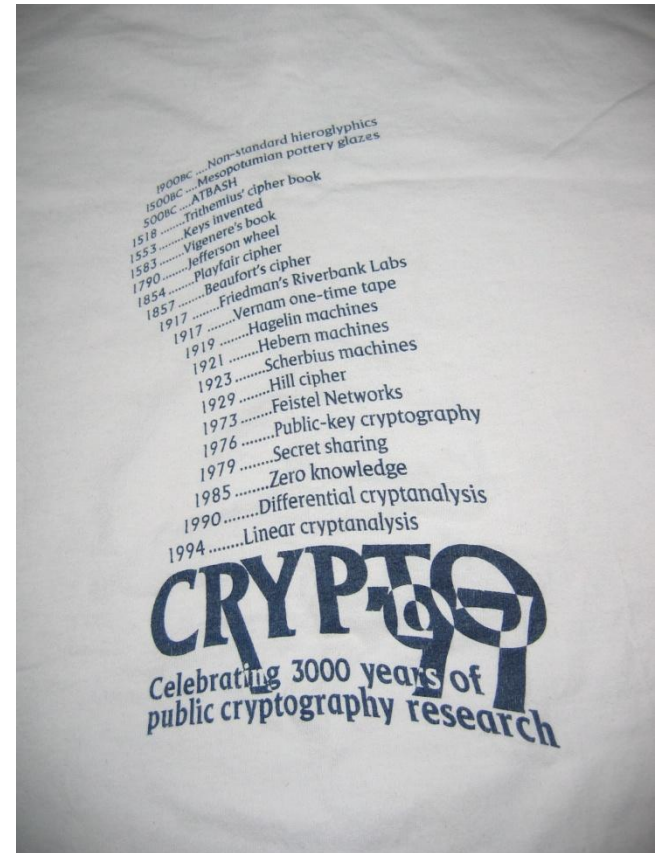
1790 : Jefferson wheel

1854 : Playfair cipher

1857 : Beaufort's cipher

1917 : Friedman's Riverbank Labs

1917 : Vernam one-time pads



# History of Cryptologic Research(2/3)

1919 : Hagelin machines

1921 : Hebern machines

1929 : Hill cipher

1949; Shannon's Theory

1973 : Feistel networks

1976 : Public key cryptography (Diffie-Hellman)

1977: DES

1978 : RSA

1985 : ECC

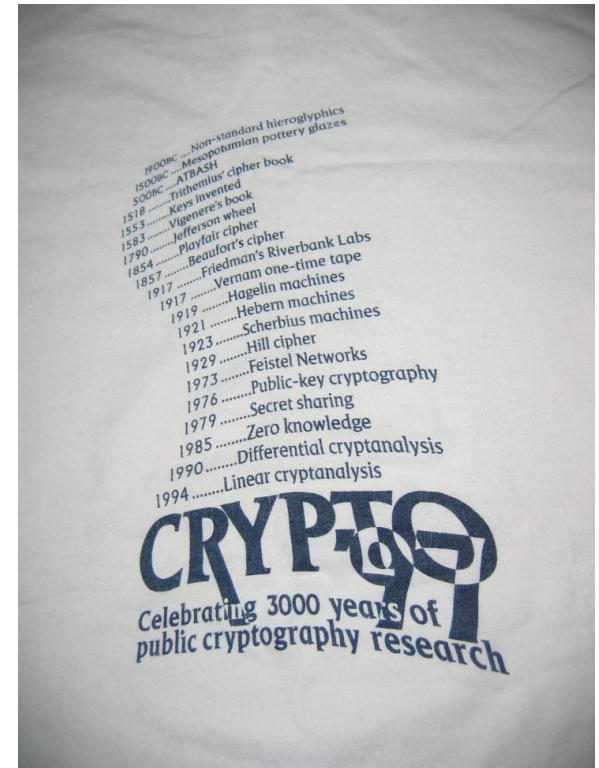
1990 : Differential cryptanalysis

1994 : Linear cryptanalysis

1997 : Triple-DES

1998 ~ 2001 : AES

Modern Cryptography



# History of Cryptologic Research (3/3)

	<b>Period</b>	<b>Features</b>	<b>Examples</b>
<b>Classical Cipher</b>	ancient ~ 1920	Substitution Transposition (Easy & Simple)	Scytale, Caesar, Vigenere, Beaufort (USA)
<b>Mechanical Cipher</b>	1920 ~ 1950	Using rotor machine	Enigma (Germany in 2 <sup>nd</sup> WW) M-209 (USA in 2 <sup>nd</sup> WW)
<b>Modern Crypto.</b>	1950 ~ current	Shannon's theory Using computer (Difficult & Complicated)	DES, SEED, AES RSA, DH, ElGamal, ECC, DSA, KCDSA, etc

# Classical Encryption Techniques

- ❑ Basic building blocks of all encryption techniques
  - Substitution: replacement
  - Transposition: relocation, permutation
  
- ❑ **Transposition** ciphers
  - Rotor machines: Enigma, Purple
  
- ❑ **Substitution** ciphers
  - Caesar cipher
  - Monoalphabetic ciphers
  - Playfair cipher
  - Hill cipher
  - Polyalphabetic ciphers: Vigenere cipher
  - **Vernam cipher/One-time pad: perfect cipher**

# Transposition Ciphers

- Scytale cipher
- Rotor machines
  - Enigma
  - Purple
  - M-209

# Scytale (1/2)

Scytale - Wikipedia, the free encyclopedia - Windows Internet Explorer

http://en.wikipedia.org/wiki/Scytale

파일(F) 편집(E) 보기(V) 즐겨찾기(A) 도구(D) 도움말(H)

Google wiki scytale

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## Scytale

From Wikipedia, the free encyclopedia

*For other uses, see Scytale (disambiguation).*

In **cryptography**, a **scytale** (/ˈskɪtəli/; , rhymes approximately with Italy; also transliterated **skytale**, Greek *σκυτάλη* "baton") is a tool used to perform a **transposition cipher**, consisting of a **cylinder** with a strip of parchment wound around it on which is written a message. The **ancient Greeks**, and the **Spartans** in particular, are said to have used this cipher to communicate during **military** campaigns.

The recipient uses a rod of the same **diameter** on which he wraps the parchment to read the message. It has the advantage of being fast and not prone to mistakes—a necessary property when on the battlefield. It can, however, be easily **broken**. Since the strip of parchment hints strongly at the method, the **ciphertext** would have to be transferred to something less suggestive, somewhat reducing the advantage noted.



A scytale

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- 1 Encrypting
- 2 Decrypting
- 3 History
- 4 Notes
- 5 References

### Encrypting

Suppose the rod allows one to write four letters around in a circle and five letters down the side of it. The **plaintext** could be: "Help me I am under attack".

To encrypt, one simply writes across the leather:

	H	E	L	P	M	E	I	A	M	U	N	D	E	R	A	T	T	A	C	K

so the ciphertext becomes, "HENTEIDTLAEAPMRCMUAQ" after unwinding.

### Decrypting

To decrypt, all one must do is wrap the leather strip around the rod and read across. The ciphertext is: "HENTEIDTLAEAPMRCMUAQ" Every fifth letter will appear on the same line, so the plaintext becomes

HELPM...return to the beginning once the end is reached  
...EAMUNDERATTACK

After inserting spaces, the plaintext is revealed: "Help me I am under attack".

### History

## Scytale (2/2)



a	b	c	d	e	f	g
s	c	y	t	a	l	e

as	bc	cy	dt	ea	fl	ge
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**Why don't you try to encrypt your message using this cipher ?  
What is key?**



# Transposition Ciphers

- ❑ Rearrange characters of plaintext to produce ciphertext
- ❑ Frequency distribution of the characters is not changed by encryption

❑ Example:

**Encryption permutation**

1	2	3	4	5	6
3	5	1	6	4	2

**Decryption permutation**

1	2	3	4	5	6
3	6	1	5	2	4

<b>plaintext</b>	i n f o r m a t i o n s e c u r i t y x y z a b
<b>ciphertext</b>	F R I M O N I N A S O T U I E T R C Y A Y B Z X

# Enigma(German) vs. Purple (Japan)@WWII

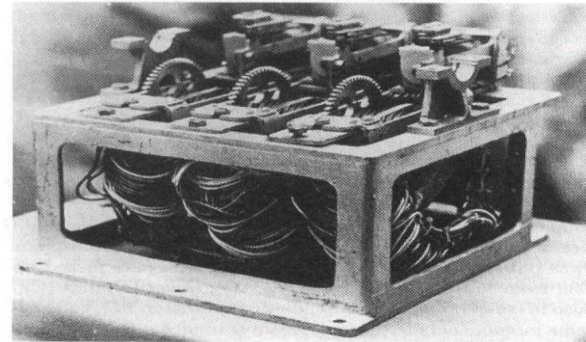
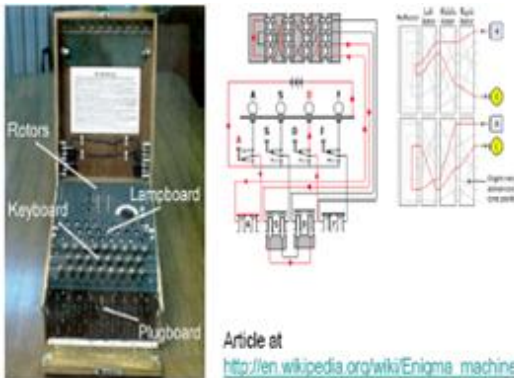


Fig. 68. Stepping switch bank of the Japanese PURPLE machine



Do you want to watch video of Engima?

US Military classified the success of breaking Purple during WWII.

# Kerckhoff's Principle

## ◆ Auguste Kerckhoff, 1883

- *A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.*
- Eric Raymond extends this principle in support of open source software, saying "Any security software design that doesn't assume the enemy possesses the source code is already untrustworthy; therefore, never trust closed source".
- The majority of civilian cryptography makes use of publicly-known algorithms. By contrast, ciphers used to protect classified government or military information are often kept secret . Why ?

# Lorenz SZ42 Cipher Machine



# Substitution Ciphers

- Caesar ciphers
- Affine ciphers
- Hill cipher
- Monoalphabetic substitution cipher
- Homophonic substitution cipher
- Polyalphabetic substitution cipher
- Vigenere cipher
- One-time pad

# Caesar Cipher (1/2)

Julius Caesar, the Roman emperor

Also known as *shift cipher*

Mathematically assign unique number to each alphabet like below

a	b	c	d	e	f	g	h	i	j	k	...	z
0	1	2	3	4	5	6	7	8	9	10	...	25

**Caesar cipher :**

*Encryption* :  $C = E_K(M) = M + K \pmod{26}$   
 $K = 3$  e.g.,  $E_K(a) = d$

*Decryption* :  $M = D_K(C) = C - K \pmod{26}$   
 $K = 3$

# Caesar Cipher (2/2)

Define transformation as:

a	b	c	d	e	f	g	h	i	j	k	...	z
D	E	F	G	H	I	J	K	L	M	N	...	C

Encryption example

i	n	f	o	r	m	a	t	i	o	n
L	Q	I	R	U	P	D	W	L	R	Q

Weakness

- Key space is too short – only 26 possible keys
- Brute force search

Example: Break "L ORYH BRX"

# Design of Affine Cipher

## Generalization of Caesar cipher

**Encryption**       $C = E_K(M) = K_1M + K_2 \pmod{26}$   
 $\gcd(K_1, 26) = 1$

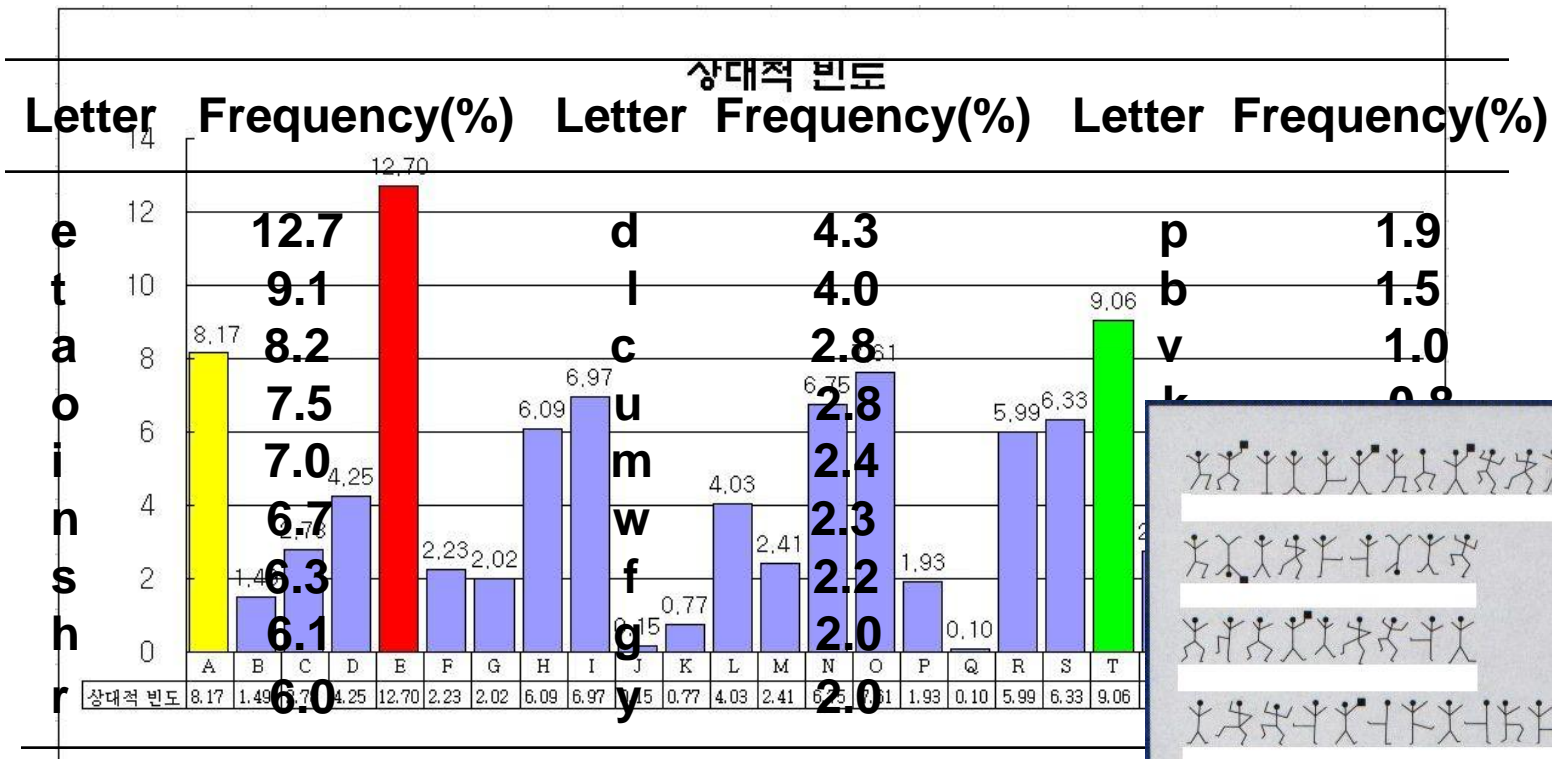
**Decryption**       $M = D_K(C) = (C - K_2)K_1^{-1} \pmod{26}$

**Mathematical Term: Multiplicative inverse**

**Quiz: How many possible keys in affine cipher?**



# Breaking of Affine Cipher



- (1)  $\Pr(e) = 0.12$ , (2)  $\Pr(t,a,o,i,n,s,h,r) = 0.06 \sim 0.09$
- (3)  $\Pr(d,l) = 0.04$  (4)  $\Pr(c,u,m,w,f,g,y,p,b) = 0.015 \sim 0.023$
- (5)  $\Pr(v,k,j,x,q,z) \leq 0.01$

소설 속에 등장하는 명탐정 홈즈는, 암호문에서 가장 많이 나오는 그림을 찾아 그것이 알파벳의 'E' 라는 사실을 알아냈다.

# Polyalphabetic Substitution Ciphers

Hide the frequency distribution by making multiple substitutions.  
Apply  $d$  different permutations.

$$m = m_1, m_2, \dots, m_d, m_{d+1}, m_{d+2}, \dots, m_{2d}, \dots$$

$$E_K(m) = \pi_1(m_1), \pi_2(m_2), \dots, \pi_d(m_d), \pi_1(m_{d+1}), \pi_2(m_{d+2}), \dots, \pi_d(m_{2d}), \dots$$

## Vigenère Ciphers

- Multiple Caesar cipher

$$k = (k_1, k_2, \dots, k_d), |k| = 26^d$$

$$c = E_k(m_1, m_2, \dots, m_d) = (c_1, c_2, \dots, c_d) = m_i + k_i \bmod 26 \text{ for } i = 1, \dots, d$$

$$m = D_k(c_1, c_2, \dots, c_d) = (m_1, m_2, \dots, m_d) = c_i - k_i \bmod 26 \text{ for } i = 1, \dots, d$$

## Beauford ciphers (used in US civil war)

$$k = (k_1, k_2, \dots, k_d), |k| = 26^d$$

$$c = E_k(m_1, m_2, \dots, m_d) = (c_1, c_2, \dots, c_d) = k_i - m_i \bmod 26 \text{ for } i = 1, \dots, d$$

$$m = D_k(c_1, c_2, \dots, c_d) = (m_1, m_2, \dots, m_d) = k_i - c_i \bmod 26 \text{ for } i = 1, \dots, d$$

# Vigenère Ciphers

Plaintext	t h i s c r y p t o s y s t e m i s n o t s e c u r e
Keyword	S E C U R I T Y S E C U R I T Y S E C U R I T Y S E C
Ciphertext	L L K M T Z R N L S U S J B X K A W P I K A X A M V G

# One-time Pad (Vernam cipher)

- ❖ Use a random key as long as the message size and use the key only once
- ❖ Unbreakable
  - ❖ Since ciphertext bears no statistical relationship to the plaintext
  - ❖ Since for any plaintext & any ciphertext there exists a key mapping one to other
- ❖ Have the problem of safe distribution of key

Ex) Binary alphabet

P:	o	n	e	t	i
P':	01101111	01101110	01100101	01110100	01101001
K:	01011100	01010001	11100000	01101001	01111010
C:	00110011	00111111	10000101	00011101	00010011

Perfect Cipher :  $p(x|y) = p(x)$  for all  $x \in P, y \in C$   
Impossible COA



One-time pad of Russian origin, small enough to fit in the palm of a hand. The typewritten numbers have figures in Russian style.

# Product Ciphers

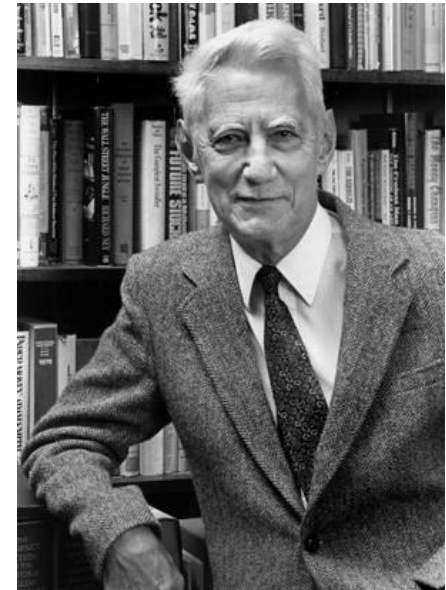
- Shannon
- Mixing Transformation
- SP Network
- Feistel Network

# Shannon's Idea (1/2)

- ◆ C. Shannon, “*Communication Theory for Secrecy Systems*”, 1949
  - Compose different kind of simple and insecure ciphers to create complex and secure cryptosystems → called “product cipher”
  - Incorporate confusion and diffusion
  - Substitution-Permutation Network

<http://www.bell-labs.com/news/2001/february/26/1.html>

<http://cm.bell-labs.com/cm/ms/what/shannonday/paper.html>



Claude Shannon

# Shannon's Idea (2/2)

## ◆ Confusion (substitution) :

- The ciphertext statistics should depend on the plaintext statistics in a manner too complicated to be exploited by the enemy cryptanalyst
- Makes relationship between ciphertext and key as complex as possible

## ◆ Diffusion (permutation) :

- Each digit of the **plaintext** should influence many digits of the **ciphertext**, and/or
- Each digit of the **secret key** should influence many digits of the **ciphertext**.
- Dissipates statistical structure of plaintext over bulk of ciphertext

# SP Network (1/2)

## ◆ Substitution-Permutation network

- Substitution (S-box) : secret key is used
- Permutation (P-box) : no secret key, fixed topology

## ◆ Provide Confusion and Diffusion

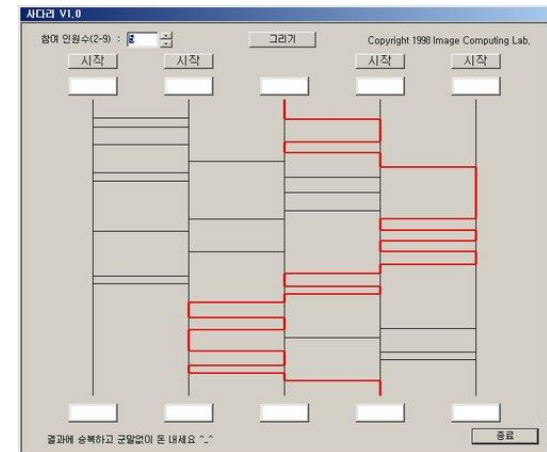
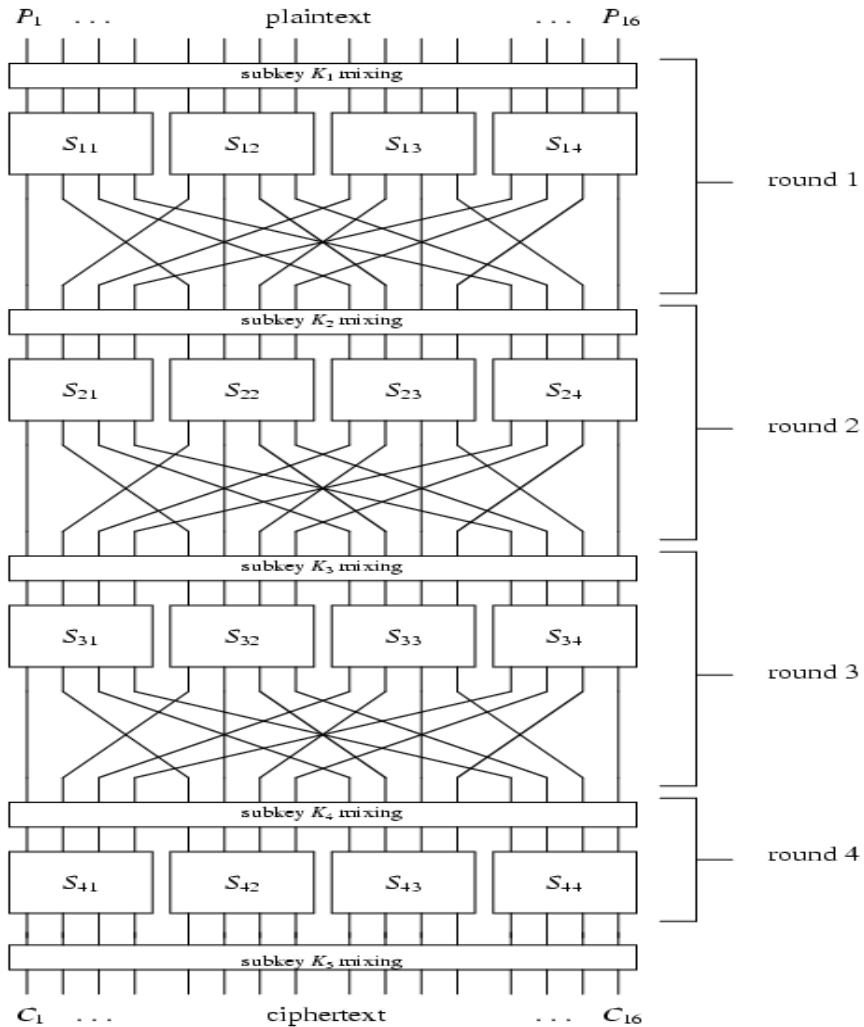
## ◆ S-P networks are expected to have

- Avalanche property: a single input bit change should force the complementation of approximately half of the output bits
- Completeness property: each output bit should be a complex function of every input bits

## ◆ Theoretical basis of modern block ciphers



# SP Network(2/2)



How many rounds?

# Using Cryptography

- ◆ Before modern crypto : limited usage
  - National security, diplomatic, military purpose
  - Researched by limited people (underground, closed)
  - Communication Security
- ◆ Current crypto : widely open, standardize
  - Research and development by anyone
  - Network Security, Computer Security, Cyber Security
  - Protecting your personal data too