

# Stream Cipher

## □ Introduction

- Same P <sup>1</sup> Same C, memory, state cipher
- bit-by-bit Exor with pt and key stream  
( $c_i = m_i \dot{\wedge} z_i$ )
- Encryption = Decryption --> Symmetric
- Use LFSR (Linear Feedback Shift Register)
- (external) Synchronous or self-synchronous
- Security measure : Period of key stream,  
LC(Linear Complexity)

# Sequence

## □ Def)

- $s = s_0, s_1, \dots$ : infinite seq.,
- $n$  term of  $s$  :  $s^n = s_0, s_1, \dots, s_{n-1}$
- if  $s_i = s_{i+n}$  for all  $i \geq 0$ ,  $s$  is periodic seq.  
having period  $n$ .
- run : subsequence of consecutive  
'0' (gap) or consecutive '1' (block)

## Golomb's postulates(I)

$s^N$  : periodic seq. of N

- (1) for a cycle of  $s^N$ , 0~1 balanceness, i.e,  
 $\#\{s_i=1\} - \#\{s_j=0\} = 1$ , for all  $i \neq j < N$ .
- (2) for a cycle of  $s^N$ , half the runs have length 1, 1/4 have the length 2, ..., etc.
- (3) Autocorrelation\* function has 2 values.

$$N \cdot C(t) = \sum_{i=0}^{N-1} (2s_i - 1) \cdot (2s_{i+t} - 1) = \begin{cases} N, & \text{if } t = 0 \\ K, & \text{if } 1 \leq t \leq N-1 \end{cases}$$

\* Measuring similarity between original and t-shifted sequences

\*\* If satisfies all, called as Pseudo-Noise(PN) sequence.

## Golomb's postulates(II)

(Ex)  $s^{15} = 0,1,1,0,0,1,0,0,0,1,1,1,1,0,1$

(1)  $\#\{0\} = 7$ ,  $\#\{1\}=8$

(2) 8 runs, 4 runs with length 1 (2 gaps, 2 blocks), 2 runs with length 2 (1 gap, 1 block), 1 run with length 3 (1 gap), 1 run with length 4 (1 block)

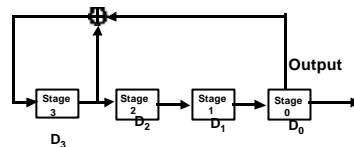
(3) Autocorrelation function,  $C(0)=1$ ,  $C(t)= -1/15$

Thus, PN-seq.

# LFSR(I)

- Notation:  $\langle L, C[D] \rangle$  where connection poly.  
 $C[D] = 1 + c_1D + c_2D^2 + \dots + c_L D^L \in \mathbb{Z}_2[D]$
- If  $c_L=1$ , {i.e.,  $\deg\{C[D]\}=L$ }, singular polynomial.
- If initial stage is  $[s_{L-1}, \dots, s_1, s_0]$ , output seq.  $s_0, s_1, \dots, s_j = (c_1s_{j-1} + c_2s_{j-2} + \dots + c_L s_{j-L}) \bmod 2, j \geq L$
- (Ex)  $\langle 4, 1 + D + D^4 \rangle, s_0 = [0, 1, 1, 0]$
- Finite State Machine

t	D <sub>3</sub>	D <sub>2</sub>	D <sub>1</sub>	D <sub>0</sub>	t	D <sub>3</sub>	D <sub>2</sub>	D <sub>1</sub>	D <sub>0</sub>
0	0	1	1	0 (6)	8	1	1	1	0 (14)
1	0	0	1	1 (3)	9	1	1	1	1 (15)
2	1	0	0	1 (9)	10	0	1	1	1 (7)
3	0	1	0	0 (4)	11	1	0	1	1 (11)
4	0	0	1	0 (2)	12	0	1	0	1 (5)
5	0	0	0	1 (1)	13	1	0	1	0 (10)
6	1	0	0	0 (8)	14	1	1	0	1 (13)
7	1	1	0	0 (12)	15	0	1	1	0 (6)



Output seq. = 0,1,1,0,0,1,0,0,0,1,1,1,1,0,1,0

# LFSR(II)

m	k(k <sub>1</sub> ,k <sub>2</sub> ,k <sub>3</sub> )	m	k(k <sub>1</sub> ,k <sub>2</sub> ,k <sub>3</sub> )	m	k(k <sub>1</sub> ,k <sub>2</sub> ,k <sub>3</sub> )	m	k(k <sub>1</sub> ,k <sub>2</sub> ,k <sub>3</sub> )
2	1	12	7,4,3	22	1	32	28,27,1
3	1	13	4,3,1	23	5	33	13
4	1	14	12,11,1	24	4,3,1	34	15,14,1
5	2	15	1	25	3	35	2
6	1	16	5,3,2	26	8,7,1	36	11
7	1	17	3	27	8,7,1	37	12,10,2
8	6,5,1	18	7	28	3	38	6,5,1
9	4	19	6,5,1	29	2	39	4
10	3	20	3	30	16,15,1	40	21,19,2
11	2	21	2	31	3	41	3

\* Primitive polynomial over  $\mathbb{Z}_2$ :  $x^m+x^k+1$ (trinomial) or  $x^m + x^{k1}+x^{k2}+x^{k3}+1$ (pentanomial) called as Maximum-length Shift Register Seq., M -seq.  
 \* # of monic primitive poly =  $\phi(2^m-1)/m$  in  $\mathbb{Z}_2[x]$  where  $\phi$  is Euler-phi ft.

## LFSR(III)

- Well suited for H/W implementation
- Produce seq. of large period
- Good statistical properties
- Readily analyzed by algebraic structure
- Breakable by consecutive  $2 * L$  sequence : depends on computing an inverse matrix whose complexity is  $O(L^3)$ ,  $L$  : length of LFSR. -> one LFSR is useless.

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## Linear Complexity(I)

- (Def) LC of finite seq .  $s^n$ ,  $L(s)$  : length of shortest LFSR that generates a seq. having  $s^n$  as its 1st  $n$  terms.  
: Berlekamp-Massey algorithm
- (Properties of LC)  $s, t$  : binary seq.
  - For any  $n \geq 1$ ,  $0 \leq L(s^n) \leq n$
  - $L(s^n) = 0$  iff  $s^n$  is '0' seq. of length  $n$ .
  - $L(s^n) = n$  iff  $s^n = 0, 0, \dots, 0, 1$ .
  - If  $s$  is periodic with period  $N$ ,  $L(s^n) \leq N$ .
  - $L(s \hat{\wedge} t) \leq L(s) + L(t)$

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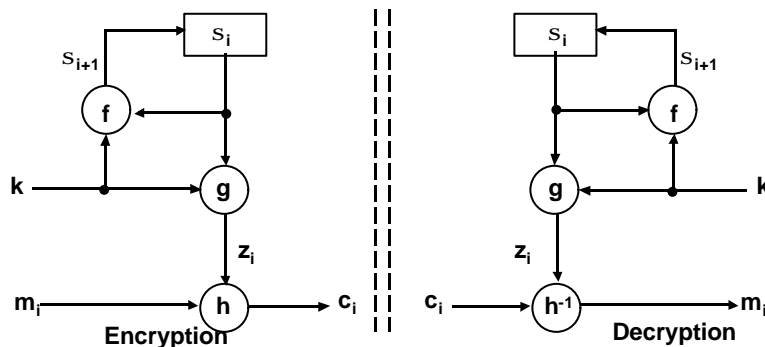
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## Linear Complexity(II)

- $s^n$  : random seq. from all seq. of length  $n$
- Expectation value of LC  $E(L(s^n)) = \frac{n}{2} + \frac{4+B(n)}{18} - \frac{1}{2^n} \left( \frac{n}{3} + \frac{2}{9} \right)$   
 where  $B(n)=0$  if even  $n$ , otherwise  $0$   
 for large  $n$   $E(L(s^n)) \gg n/2 + 2/9$  and  $\text{Var}(L(s^n)) \gg 86/81$
- (Def) LCP (Linear Complexity Profile)  
 $L_N$  : LC of  $s^N=s_0,s_1, \dots,s_{N-1}$ ,  $L_1, L_2, \dots,L_N$  is LCP

## Synchronous Stream Cipher(I)

- $f$  : next state ft,  $s_{i+1} = f(s_i, k)$ ,  $s_0$  : initial value
- $g$  : keystream generating ft,  $z_i = g(s_i, k)$ ,  $k$  : key
- $h$  : output ft,  $c_i = h(z_i, m_i)$ ,  $m_i$  : pt,  $z_i$  : key stream,  $c_i$ :ct



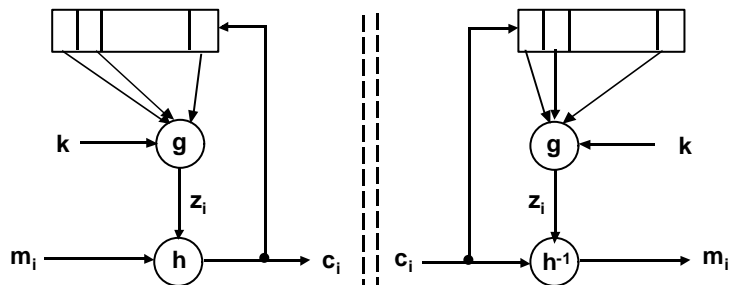
## Synchronous Stream Cipher(II)

- (1) Synchronization requirement : loss
- (2) No error propagation : gain
- (3) Active attack : (1) -> insertion, deletion or replay then lose synchronization

Need to consider other integrity check mechanisms

## Self-Synchronous Stream Cipher(I)

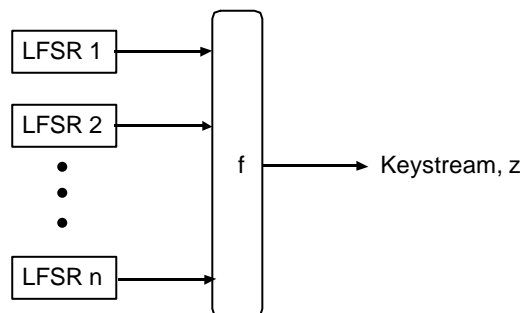
- $S_i = (c_{i-t}, c_{i-t+1}, \dots, c_{i-1})$ ,  $S_0 = (c_{-t}, c_{-t+1}, \dots, c_{-1})$  : initial value
- $g$  : keystream generating ft,  $z_i = g(S_i, k)$ ,  $k$  : key
- $h$  : output ft,  $c_i = h(z_i, m_i)$ ,  $m_i$  : pt,  $z_i$  : keystream,  $c_i$  : ct



## Self-Synchronous Stream Cipher(II)

- (1) self-synchronization : gain
  - (2) error propagation : loss
  - (3) active attack : (2) -> easy to find  
passive modification, (1) -> by active  
adv., difficult to find
- ⊢ other integrity check mechanism  
required.

## Nonlinear Combiner(I)

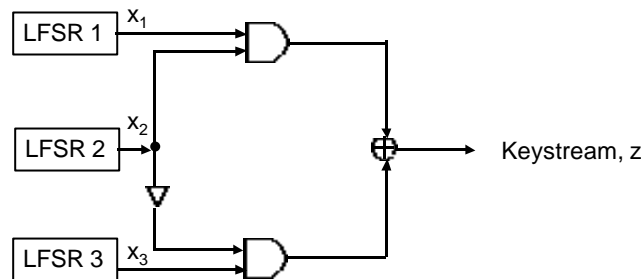


Algebraic Normal Form (ANF) : mod. 2 sum of distinct  $m$ -th order  
product of its variable,  $0 \leq m \leq n$

Ex)  $f(x_1, x_2, x_3, x_4, x_5) = 1 + x_2 + x_3 + x_4 + x_4x_5 + x_1x_2x_3x_4$ ,  $\deg(f) = 4$

## Nonlinear Combiner(II)

### □ Geffe generator



$$f(x_1, x_2, x_3) = x_1 x_2 \oplus (1 + x_2) x_3 = x_1 x_2 \oplus x_2 x_3 \oplus x_3$$

$$p(z) : (2^{L_1}-1)(2^{L_2}-1)(2^{L_3}-1)$$

where  $L_1, L_2$  and  $L_3$  are relatively prime

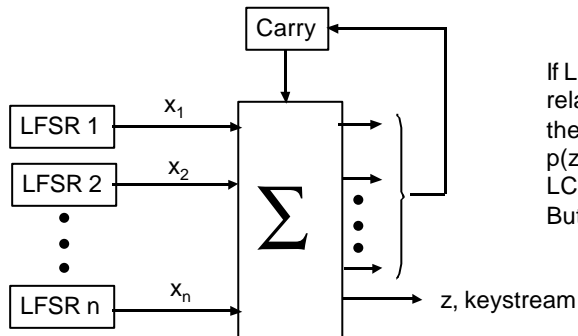
$$L(z) = L_1 L_2 + L_2 L_3 + L_3 : \text{Correlation attack is possible !}$$

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## Nonlinear Combiner(III)

### □ Summation generator



If  $L_i$  and  $L_j$  are pairwise relatively prime,  
then  
 $p(z) = \prod_{i=1}^n (2^{L_i}-1)$   
 $LC \approx p(z)$   
But weak in 2-adic span

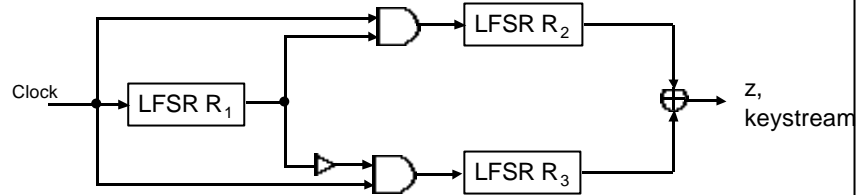
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## Clock-controlled generator(I)

### □ Alternating step generator



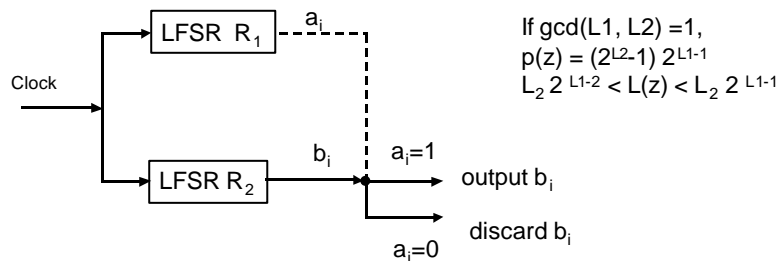
$R_1$  : de Bruijn seq. of period  $2^{L_1}$      $p(z) = 2^{L_1} (2^{L_2-1})(2^{L_3-1})$   
 $R_2, R_3$  : m-seq s.t.,  $\gcd(L_1, L_2)=1$      $L(z) : (L_2 + L_3) 2^{L_1-1} < L(z) \leq (L_2 + L_3) 2^{L_1}$

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## Clock-controlled generator(II)

### □ Shrinking generator



If  $\gcd(L_1, L_2) = 1$ ,  
 $p(z) = (2^{L_2-1}) 2^{L_1-1}$   
 $L_2 2^{L_1-2} < L(z) < L_2 2^{L_1-1}$

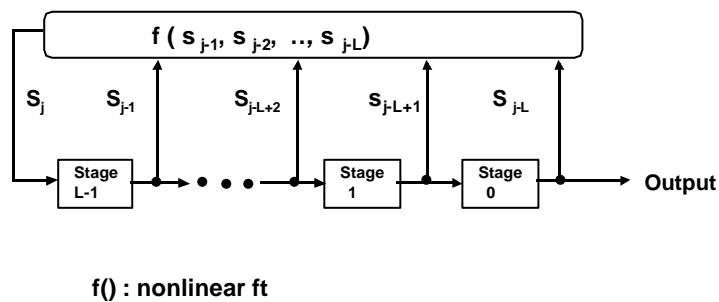
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## Other generators

- ❑ Cascade Generator
- ❑ CSPRBG(Cryptographically Secure Pseudo Random Bit Generator)
  - RSA LSB Generator
  - BBS Generator
- ❑ Pseudo-noise Generator
  - Noise Diode or Noise Transistor

## Nonlinear FSR



## Security of Stream Cipher

- ❑ **Period** : Depends on req' d level of security
- ❑ **Linear Complexity**
  - shortest LFSR that generates a given seq.
- ❑ **Measure against Correlation Attack**
  - Correlation Immune ft
  - Nonlinear ft
- ❑ **DC,LC, and DFA are applicable**

\* A5 crack survey : <http://www.jya.com/crack-a5.htm>

## Statistical Randomness

- ❑ **Frequency Test**
- ❑ **Serial Test**
- ❑ **Run Test**
- ❑ **Poker Test**
- ❑ **Spectral Test**
- ❑ **Linear Complexity Profile**
- ❑ **Quadratic Complexity**

## Statistical Test by FIPS 140-1

For a given 20,000bit sample seq.

(1) monobit test :

The number of '1' =  $n_1$ ,  $9,654 < n_1 < 10,346$

(2) poker test :

$m=4$ ,  $1.03 < X_3 < 57.4$        $X_3 = \frac{2^m}{k} \left( \sum_{i=1}^{2^m} n_i^2 \right) - k$ ,       $k = \left\lfloor \frac{n}{m} \right\rfloor$

(3) runs test : 1 ≤ i ≤ 6

(4) long run test : no run greater than 34