A Thesis for the Degree of Master

A Study on Localized Multi-user Broadcast Authentication Protocol for Wireless Sensor Networks

Sungjune Yoon School of Engineering Information and Communications University 2008 A Study on Localized Multi-user Broadcast Authentication Protocol for Wireless Sensor Networks

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A thesis submitted to the faculty of Information and Communications University in partial fulfillment of the requirements for the degree of Master of Science in the School of Engineering

> Daejeon, Korea December 18. 2007 Approved by

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²⁰⁰⁶²⁰⁷⁵ A Study on Localized Multi-user Broadcast Authentication Protocol for Wireless Sensor Networks

> School of Engineering, 2008, 46p. Major Advisor : Professor Kwangjo Kim. Text in English

Abstract

Wireless Sensor Networks (WSNs) are one of the core techniques for the upcoming ubiquitous environment. A WSN is an *ad-hoc* network which consists of hundreds to thousands of tiny resource-constrained sensor nodes and several powerful Base Stations (BSs). WSNs will be widely used for autonomous remote data acquisitions in many different applications from battle field surveillance to building maintenance [7].

Even though, WSNs have many similarities with existing *ad-hoc* networks, there are many differences; more constrained resources, large-scale deployment, and node compromise. These differences make existing security protocols for *ad-hoc* networks impractical in WSNs [9].

In WSNs, Broadcast authentication allows sensor nodes to verify the authenticity of broadcasted messages including commands and queries. Due to above mentioned differences, existing broadcast authentication protocols for *ad-hoc* networks or Internet are impractical as they require computation intensive public key operations. To conserve the energy of sensor nodes, most protocols for WSNs utilized delayed key disclosure¹.

¹Send a message first with a message authentication code then release the associated key.

The problem of these protocols is not only legitimate senders could broadcast messages but also adversaries easily flood malicious messages into a network by which they could easily destruct the operations of the network by overflowing the buffer of sensor nodes.

Recently, some researchers have proposed several multi-user broadcast authentication protocols which allow legitimate mobile users to directly disseminate their messages into the network in an authenticated manner. However, most of them are based on public key cryptography (PKC) which consumes a lot of energy of sensor nodes to verify the messages. Although, PKC can provide immediate authentication of messages (thus, it can prevent the network from flooding attacks), it could not prevent the network from careless and excessive message dissemination by legitimate users. Therefore, we strongly believe that network users are allowed to broadcast their messages only into their surroundings not into the entire network.

In this thesis, we propose two security protocols; one for secure clustering with enabling *in-cluster* broadcast authentication and another for user authentication. Our clustering protocol provides an efficient localized broadcast authentication to Cluster Head (CH) which manages a cluster as well as secure Node-to-CH communication, and our user authentication protocol provides an efficient secure channel establishment between a node and a user under the assumption that the user can directly communicate with BS.

With our protocols, we finally explain how to combine these protocols to allow legitimate users to locally disseminate their messages into several clusters which are in the communication range of the users. Since our protocols utilize only secret key cryptography including hash, message authentication code, and pseudo random number generator, we believe that our combination outperforms the other PKC-based multiuser broadcast authentication schemes.

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List of Abbreviations

- ${\bf B}{\bf A}$ Broadcast Authentication
- ${\bf BS}\,$ Base Station
- ${\bf CH}$ Cluster Head
- **KP** Key Pool
- LEACH Low Energy Adaptive Clustering Hierarchy
- ${\bf MAC}\,$ Message Authentication Code
- **MBA** Multi-user Broadcast Authentication
- OHC One-way Hash Chain
- PKC Public Key Cryptography
- SKC Secret Key Cryptography
- ${\bf WSN}\,$ Wireless Sensor Network
- **PRNG** Pseudo-Random Number Generator
- ${\bf UA}~{\rm User}~{\rm Agent}$

List of Notations

- A_x Communication range of x
- AL_x Access control list of x
- CH_x Cluster head node of G_x
- D_{SET} Cluster set-up duration
- D_{STD} Cluster steady-state duration
- $E_{K_{x,y}}(*)$ Secret key-based encryption with a key, $K_{x,y}$
- $G_i \ i^{th}$ group (or cluster) in the network
- H(msg) Hash value of msg
- ID_x Identity of x
- $K_{x,y}$ Shared secret key between x and y
- $K_x x^t h$ key in a key pool
- KP_x Key pool of G_x
- $MAC_x(msg)$ Message authentication code of msg using x as a key
- MAX_B Maximum broadcasting number in a round
- MAX_D Maximum broadcasting delay in a cluster
- $N_x x^{th}$ sensor node in WSN
- R Random number

T_{Exp} Expiration time

 T_x Current time of x (local time stamp)

 $TK_{x,y}$ Ticket for x and y generated by BS

- $\eta_x^y \ x^{th}$ sensor node in G_y
- $\varpi~$ Total number of sensors in G_x
- \Rightarrow Secure channel
- \rightarrow Insecure channel

I. Introduction

1.1 Overview

Wireless Sensor Networks (WSNs) are one of the core techniques for the upcoming ubiquitous environment. A WSN is an *ad-hoc* network which consists of hundreds to thousands of tiny resource-constrained sensor nodes and several powerful Base Stations (BSs). Sensor nodes sense environmental changes (e.g., temperature, seismic wave, and so forth.) from their immediate surroundings and perform very simple computation on the sensed data and transmit the data to BS via their intermediate nodes. BS is a powerful and well secured device which performs delicate computation on the received data from sensor nodes and provides it to external users via Internet. WSNs will be widely used for autonomous remote data acquisitions in main different applications from battle field surveillance to building maintenance. Figure 1.1 shows a simple WSN and its applications.

When sensor nodes are deployed in an unattained and hostile environment, security becomes important issues since they are subject to different types of attacks. For example, an adversary can easily eavesdrop the traffic, impersonate the sensor nodes, or intentionally inject misleading information into WSNs [11]. Therefore, the communication should be encrypted and authenticated.

WSNs have many similarities with *ad-hoc* networks but also have following differences; more constrained resources, large-scale deployment, and node¹ compromise. Those differences make existing security proto-

¹We will use the terms senor, node, and sensor node interchangeably throughout this thesis.

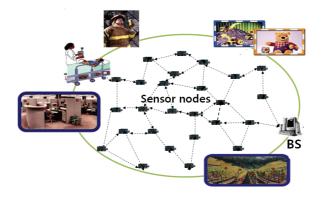


Figure 1.1: WSN and its Applications

cols for *ad-hoc* networks impractical in WSNs [9]. Table 1.1 shows the specification of sensor nodes widely used in the WSN research field.

In WSNs, Broadcast Authentication (BA) which is one of the most important security mechanisms for secure software updates, networkwide commands, and queries dissemination allows sensor nodes to verify the authenticity of broadcasted messages. As mentioned before, due to the scarce resources of sensor nodes, the large-scale deployment, and node compromise, existing BA protocols for *ad-hoc* networks or Internet are impractical as they require computation intensive public key operations.

To conserve the scarce energy of sensor nodes, most BA protocols for WSNs utilized delayed key disclosure². The problem of these protocols is not only legitimate senders could broadcast messages but adversaries also easily flood malicious messages into the network by which they could easily destruct the operations of the network by overflowing the buffer of sensor nodes. To defense a WSN from such flooding attacks, we have to limit the range of broadcasting.

Recently, Ren et al. have proposed several multi-user broadcast au-

 $^{^{2}}$ A sender broadcasts a message first with a message authentication code then releases the associated key.

Sensor	WeC	Rene	Dot	Mica	Mica2	MicaZ	iMote2	
Node								
Release	1998	1999	2001	2002	2003	2004	2006	
Clock			4		7.	37	13-416	
(MHz)								
CPU	Atmel 9	0LS8535	Atmel	Atmel	Atmel Atmega 128L		PXA271	
			Atmega	Atmega			XScale	
			163	103L				
RAM	0.5	KB	1KB	4KB		32MB		
Program	8ŀ	КB	16KB	128KB		32MB		
Memory	7							
Wireless	RFM		ΓR1000	Chipcon (Chipcon cc2420		
Module	le				cc1000			
Data	10KB			40KB	38.4KB	28	50	
Rate(KB)	KB)							

Table 1.1: Sensor Node Specification [27]

thentication protocols which allow legitimate users to directly broadcast their messages into WSNs under the assumption that Public Key Cryptography (PKC) will become viable even in tiny sensor nodes. Allowing a number of legal users to disseminate any messages into the entire WSNs, however, could easily disrupt the operation of the networks, so we still have to limit the broadcasting range of the users.

1.2 Our Goals

Our main goal is to allow only legitimate users to efficiently disseminate their queries or data to the sensor nodes resided at their surroundings in an authenticated manner.

To achieve this goal, we provide two security protocols; 1) a secure clustering protocol with enabling *in-cluster* broadcast authentication by which we can localize the broadcasting range of users in a cluster and 2) a user authentication protocol which is used for authenticating users before allowing them to broadcast their messages into a cluster.

Combining these two protocols, we can finally achieve our goal; i.e., whenever a user wants to broadcast his/her query or command to the surroundings, the user first has to authenticate him/herself to a cluster head³(CH), and then the CH disseminates the query on behalf of the user to the other nodes in its cluster.

1.3 Outline

The remaining parts are organized as follows: In Chapter II, we explain previous BA protocols, clustering schemes, and user authentication mechanisms. In Chapter III, we provide some background information adopted in our protocol. In Chapter IV and Chapter V, we describe our clustering protocol and user authentication scheme with their security analysis respectively. At the end of Chapter V, we also describe how to combine our protocols to support localized multi-user broadcast authentication. In Chapter VI, we make a conclusion with our future work.

 $^{^3\}mathrm{A}$ cluster head manages the other nodes (or member nodes) in a cluster. It usually aggregates data received from its member nodes and sends the aggregated data to BS

II. Previous Works

2.1 Broadcast Authentication Protocols

Earlier studies mainly focused themselves on secret key-based broadcast authentication. μ TESLA [28] is the first broadcast authentication protocol designed for WSNs. μ TESLA provides source authentication and message integrity by utilizing a one-way hash chain (OHC) [19] and loosely-coupled time synchronization between sensor nodes and BS. The μ TESLA is a very efficient broadcast authentication mechanism, but it has very limited scalability because of its unicast-based parameter distribution needed for adding new sensors and is subject to malicious message flooding attacks. To cope with this problem, multilevel μ TESLA [21] were proposed to enhance μ TESLA using hierarchical approaches to support large-scale networks and to prolong the lifetime of broadcast authentication (the lifetime of μ TESLA is determined by the length of an OHC). L-TESLA [10] also provides an alternative solution for this problem by localizing the authentications of broadcasted messages. It divides a large network into small number of clusters (or groups) where few trusted and better secured nodes are deployed. A trusted node coordinates broadcast authentication in a cluster. BABRA [36] removes the requirement of time synchronization and hash key chain needed in μ TESLA, and provides infinite lifetime of broadcast authentication, but it requires one additional hash value to remove the hash key chain.

However, above mentioned schemes do not support a large number of broadcast users since each user's broadcast parameters must be stored into all sensor nodes which usually have a small storage. T-TESLA [22] provides a solution using Merkle hash tree [23], but it is only suitable when all the users could be pre-determined before the deployment of WSNs since the Merkle hash tree should be changed and re-distributed whenever a user is added or deleted.

Due to the advances in sensor nodes, public key cryptography (PKC) has become a good solution for providing security services even in a tiny sensor node [29]. The main advantages are to construct simple protocol and to authenticate a message immediately. Based on these advantages, Ren et al. [30, 31] proposed several MBA schemes which focused on reducing the number of PKC operations and increasing the number of users by utilizing Merkle hash tree and Bloom filter [24]. In order to authenticate a message in their approaches, however, all sensor nodes have to verify the signature of the message; thus, it could more easily exhaust the scarce energy of sensor nodes than secret key-based approaches. In the other words, we should restrict the use of PKC to minimum. Sluice [18] combines a digital signature scheme and an OHC to efficiently broadcast a bulk of messages usually used in program updates or reprogramming, but it is not suitable for broadcasting a large number of *small-size* messages. Benenson [5] proposed a PKCbased user authentication scheme which could be used to establish a bunch of secure channels between a user and his neighbor sensor nodes, but did not provide any specific method to broadcast user's messages into WSNs. In addition, AQF-pass [4] utilized multi-MAC approach to broadcast messages but has false positive nature.

2.2 Secure Clustering Protocols

Sensors are usually battery-powered devices so they should be recharged manually. However, it is very difficult to manually manage each sensor node due to the large-scale deployment. Thus, the energy efficiency is

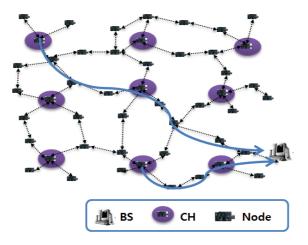


Figure 2.1: Cluster-based WSN

the most important factor in WSNs. Data transmission, above all, is the most energy consuming operation.

If sensors individually transmit their data to BS, intermediate nodes between the sensors and BS consume a lot of their scarce energy to route others' data. To reduce routing overhead, a WSN should be organize into clusters. In each cluster, there is a cluster head node (CH) which is responsible for managing its cluster, aggregating data received from its member nodes, the other nodes in the cluster, and transmitting the fused data to BS. Figure 2.1 shows a simple cluster-based WSN architecture.

There are a lot of clustering protocols, such as LEACH [25], PEGA-SIS [20], TEEN [15], etc. Among them, LEACH is the most frequently used clustering protocol. It uses a distributed clustering technique and self-reorganization of the sensor nodes to prolong the life time of a WSN. However, LEACH is vulnerable to a number of attacks including eavesdropping, jamming, spoofing, and so forth. To cope with these problems, SLEACH [14], SecLEACH [26], and GS-LEACH [3] were proposed to defense LEACH from such a malicious attacks. SLEACH provides CH authentication which means only legitimate nodes become CHs using μ TESLA. However, it delays the set-up phase and is difficult to support large-scale WSNs since original μ TESLA also has the scalability problem. SecLEACH provides secure Node-to-CH communication by utilizing a random key pre-distribution scheme (we will explain it in the next chapter), but there are many orphan nodes which means that they do not participate any clusters and the constructed clusters are not efficient. GS-LEACH was proposed to reduce the above mentioned problems of SecLEACH by exploiting deployment knowledge. We will provide the detailed description of GS-LEACH in the following chapter.

Above mentioned secure variants of LEACH do not provide an *in-cluster* (or localized) broadcast authentication mechanism which is necessary to control the operation of member nodes. In the above protocols, when a CH wants to broadcast a command or query to its member, the CH has three choices; 1) broadcasting the command or query without authentication, 2) individually sending the command or query to each member node which is protected by a shared key between the CH and each node, 3) requesting BS to broadcast its command or query.

First one is vulnerable to forgery attacks, any adversaries can broadcast their malicious commands our queries. Second one requires a lot of energy, and third one is vulnerable to DoS attacks since all the secure variants of LEACH uses original μ TESLA which is used in the entire network, so any attackers can flood malicious messages into the network which results in overflowing the memory of sensor nodes.

2.3 User Authentication Protocols

In [4, 5], Benenson *et al.* used a public key-based certificate for verifying the source of a query, under the assumption that public key operation is viable even in the resource-constrained sensor nodes. However, public key operation is much slower than secret key operation; while launching a DOS attack, an attacker can easily exhaust the limited energy of sensor node. Wong *et al.* [34] and Wang and Li [33] proposed user authentication schemes, which exhibit the same weakness as mentioned above, because they also used public key operation in their schemes. Banerjee and Mukhopadhyay [2] applied a random polynomial key predistribution scheme [1] to verify the legitimacy of a user, but did not consider the user compromise *i.e.*, by stealing a legitimate user's device, an attacker can get all the information from any sensor node at anytime.

Although Zhang *et al.* [35] have proposed a user authentication scheme that is resilient against the user compromise, the trajectory of a user has to be predetermined in their scheme. It is suitable for network management (in this case, BS can predict the trajectory of the user), but inadequate for normal users whose trajectory are difficult to predict. Moreover, all the above mentioned schemes reveal the information of the user where the ID of the user is broadcasted as a plaintext. Since an attacker can easily eavesdrop the broadcasted ID, the attacker can track the whereabouts of the user. It could violate the privacy of the user.

III. Preliminaries

In this chapter, we explain two basic protocols widely adopted in many security mechanisms in WSNs and one new secure clustering protocol which will be modified to provide localized broadcast authentication.

3.1 One-way Hash Chain (OHC)

An OHC [19] is a chain of hash values generated by repeatedly applying a one-way hash function, H(), on a random number $R_m, R_i = H(R_{i+1})$ for i = m - 1, ..., 0. It is computationally impossible to find R_i from $H(R_x)$ where $0 \le x \le i$. This OHC is used to generate a chain of keys which will be used in Message Authentication Code (MAC) computations¹. To bootstrap broadcast authentication, a sender should distribute the initial key commitment, R_0 , to all receivers in a secure manner. Before broadcasting a message, the sender uses a key, R_i where i > 0, in the chain which is not disclosed yet to generate a MAC and broadcasts the message with the MAC. Some time later, the sender releases the key which is authenticated by R_0 . Most OHC-based BA schemes have small communication overhead (one or two hash (or MAC) values per a message), but the authentication of messages is delayed until the related keys are disclosed.

3.2 ID-based Key Pre-distribution

In 2002, Eschenauer and Gligor proposed a random key pre-distribution scheme [13]. This scheme has three phases.

 $^{^1\}mathrm{MAC}$ is a short piece of information used to provide the integrity and authenticity of a message.

1. Key Pre-distribution Phase Prior to deployment, BS generates a large key pool, KP which contains an amount of keys with their associated ID. Each node randomly picks w distinct keys with their ID from KP, and stores them into its memory. The number of keys in KP and w are chosen such that any two nodes share at least one key with some probability p. Let s denote the number of keys in KP, then p is calculated by the following equation:

$$p = 1 - \frac{\binom{s}{w}\binom{s-w}{w}}{\binom{s}{w}\binom{s}{w}}$$
(III.1)

- 2. Shared Key Discovery Phase After deployment, each node tries to find a common key with its neighbor nodes by sending all the IDs of its keys to the neighbors. If two neighboring nodes have a common key (by checking all the received IDs), the key will be used to secure the communication channel between these nodes.
- 3. Path Key Establishment Phase After the key discovery phase, nodes can construct path keys with their neighbor nodes which they do not share keys with via their other neighbor nodes they already shared a key with.

s and w are important factors which determine the connectivity and the resilience of the scheme. Connectivity is defined as the probability *i.e.*, p that any two nodes share at least one key. Resilience is defined as the fraction of the compromised communication links after a certain number of nodes are captured by attackers. For example, if s is one, the scheme provides a high connectivity, but it is not resilient against node capture attack as an attacker can compromise the entire network by capturing only one node. On the other hands, if s is very large, resilience becomes much better, but connectivity of the network becomes low [12]. For instance, as indicated in [13], if s is 100,000, p is only 0.33 even if each node selects 200 keys from this key pool.

In the shared key discovery phase, each node has to broadcast all the IDs of its keys which in turn produces a lot of communication overhead. To reduce this communication overhead, Zhu *et al.* [37] utilized a Pseudo-Random Number Generator (PRNG).

At the key pre-distribution phase, instead of the random selection of w number keys, each sensor node first generates w distinct index values using PRNG with its ID as a seed value, then stores w keys associated with the generated index values into its memory.

At the shared key discovery phase, now, each sensor only needs to broadcast its ID instead of all the IDs of keys it stores. By repeatedly generating index values (upto w) using PRNG with a node ID as a seed value, each node can find a shared key if it exists.

3.3 Grid-based Secure-LEACH

Grid-based Secure LEACH [3] (GS-LEACH) is a secure variant of LEA-CH. It utilizes deployment knowledge to efficiently support secure Nodeto-CH communication. All the LEACH-*like* clustering protocols work in rounds and have two pre-determined phases in each round; a short set-up phase and a long steady-state phase. At each set-up phase, nodes are self-configured into clusters. After the set-up phase is finished, there is a long steady-state phase. Additionally, GS-LEACH has a key predistribution scheme before the deployment of sensor nodes.

Prior to deploying l sensor nodes in the field, BS divides sensor nodes into g group, G_i where $1 \le i \le g$. Each group contains l/g sensor nodes. Then, BS generates g distinct key pools $(KP_1, ..., KP_k)$ of the same size from a large size key pool KP. Each node in G_i , η_z^i , is assigned to a unique ID and then stores w distinct keys form KP_i according to the above mentioned ID-based key pre-distribution scheme. In addition, each node is also given one unique key for communication with the BS. This key is used when a node becomes a CH and sends data to the BS.

After that, the field of interest is also divided into g grids. At each grid, one group of nodes is deployed randomly. The nodes deployed in each grid communicate within the group, and are the only legitimate nodes to form a cluster at the grid. Thus, all the nodes in a group would not communicate with the other nodes in the other groups. This ensures that even if several nodes in a group are captured by an attacker, security of the rest of the network will not be compromised.

At the set-up phase, each node in a group individually decides whether or not to become a CH with some probability, such that there is only one CH per a grid, and then the self-elected CH in a grid broadcasts a short message and its ID to the other nodes in the group. The nodes in the group which have a common key with the CH respond by sending a join-request message and the index value of the common key to the CH. The nodes which do not share a key with the CH in the group have themselves to sleep for this round and will participate in the next round.

After receiving all the join-requests, the CH make a TDMA schedule and unicasts a confirmation message to each node who has joined as a member in the group with its time information. This allows the members to turn off their radio module at all times except their scheduled time intervals, so they can save their scarce energy. The set-up phase is followed by a long steady-state, where the member nodes sense environmental data from their surroundings and send the data to the CH with a MAC generated by their shared key with the CH. Then, the CH first verifies the MACs, aggregates the data, and sends the result of the aggregation to BS using its shared key. Figure 3.1 shows an example of GS-LEACH where g = 9 and l = 45.

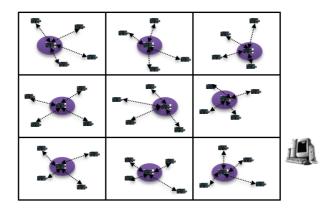


Figure 3.1: GS-LEACH

3.3.1 Connectivity

The connectivity in a cluster depends on the number of groups (g), the number of keys in the KP (s), and the number of keys stored in a node (w). The connectivity of a cluster is calculated by the following equation:

$$p_{conn} = 1 - \frac{\binom{s/g}{w} \binom{s/g - w}{w}}{\binom{s/g}{w} \binom{s/g}{w}}$$
(III.2)

Figure 3.2 shows the connectivity of a cluster where s = 100,000and l = 1,000

3.3.2 Resilience

Let n denote the number of compromised nodes, then the resilience in a cluster of GS-LEACH could be computed by the following equation:

$$p_{compromise} = 1 - \left(1 - \frac{w}{s/g}\right)^n \tag{III.3}$$

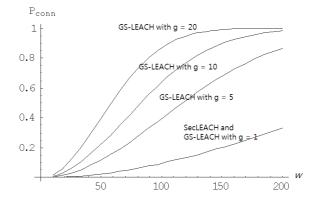


Figure 3.2: The Connectivity of GS-LEACH [3]

Since any two nodes resided in different groups does not share any keys, compromising several nodes in a cluster does not affect the other groups.

IV. Broadcast Enabled GS-LEACH

4.1 Motivation

We have noticed that there is no local broadcast authentication mechanism in the secure variants of LEACH. In each cluster, a cluster head should both aggregate data as well as disseminate queries and commands to its members to control their operation [8]. Some protocols [6, 8] use a cluster-key approach which means that all the members in a cluster share a secret key, but these are vulnerable to node compromise attacks. If an attacker captures a node in a cluster, the attacker could broadcast any forged messages into the cluster in an authenticated manner. In this chapter, we slight modify GS-LEACH to bootstrap *incluster* broadcast authentication.

4.2 Assumption

We only consider a homogenous WSN which consists of a large number of sensor nodes with the same computing power and energy except BS. All the variants of LEACH assume that all the nodes can directly send data to the BS with their highest transmission power, but to conserve the scarce energy of nodes, they hardly communicate with the BS. Only CHs send data to the BS directly. For the sake of simplicity, we also do it too. In addition, we assume that all the nodes including BS are loosely time synchronized and the number of sensors in a group is relatively small so each sensor node can store the broadcast parameters of the other nodes which belong to the same group, more specifically the last hash value of the others' OHC. Finally, we assume that there is no global broadcast authentication protocol. As mentioned before, if we allows the BS to directly broadcast messages into the entire network using a μ TESLA-*like* protocol, it could be exploited by an adversary to destruct the network.

4.3 Overview of Our Protocol

Our protocol also consists of two phases with one additional key predistribution phase before deployment. At a set-up phase, we also elect a CH per a group and construct secure channels between the CH and its member nodes in an authenticated manner. After the set-up is complete, there is a much longer steady-state, the duration is pre-determined by the BS, where the member nodes sense data from their surroundings and then send the data to the CH with a MAC generated by its shared key, then the CH verifies these data, performs data aggregation, and sends it to the BS using its shared key. In addition, we add a localized (*in-cluster*) broadcast authentication mechanism by which CHs can broadcast any queries or commands to their member nodes in an authenticated manner.

Figure 4.1 and 4.2 show the overall time line and one round of our protocol.

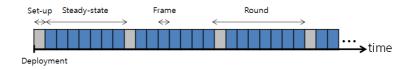


Figure 4.1: Time line of Our Protocol

Different from any variants of LEACH, our protocol requires the authentication of an advertisement at the end of every set-up phase which may consume a little more energy of sensor nodes than others.



Figure 4.2: Cluster Set-up Phase

Table 4.1 :	Notation	used in	Our	Clustering	Protocol

Notation	Description
CH_i	the cluster head of ith cluster
g	the number of clusters (or group) in the deployment
	area
G_i	ith cluster (or group)
H()	a hash function
$HK_j^{CH_i}$	jth hash value of CH_i 's OHC
$ID_{\eta_z^i}$	ID of η_z^i
K _c	a key derived from a key pool with c as its ID
KP_i	a key pool used by all the sensor nodes in G_i
l	the total number of sensor nodes to be deployed
$MAC_x(msg)$	a message authentication code of msg with x as a key
MAX_B	the maximum broadcasting number in a round
MAX _D	the maximum propagation delay to send a message
	from CH_i to all nodes in a cluster
nonce	a nonce
$\overline{\omega}$	the number of sensor nodes in a cluster
η^i_z	zth sensor node in G_i

Table 4.1 describes the notation used in the protocol details.

4.4 Protocol in Details

4.4.1 Pre-distribution Phase

Prior to deploying l sensor nodes in the field of interest, BS divides sensor nodes into g groups G_i where $1 \leq i \leq g$. Each group contains ϖ ($\varpi = l/g$) sensor nodes. Then, the BS generates g key pools $(KP_0, ..., KP_g)$. Let η_z^i where $1 \leq z \leq \varpi$ denote a sensor node in G_i . Each η_z^i is given its unique id $ID_{\eta_z^i}$. The BS stores w keys from KP_i into $\eta_z^{i,j}$ according to the above mentioned ID-based random key predistribution scheme (see 3.2). In addition, the BS decides the maximum broadcasting number in a round MAX_B .

For each group G_i , the BS generates ϖ random numbers $R_1, ..., R_{\varpi}$ and ϖ OHCs by repeatedly hashing R_j , $HK_q^j = H(HK_{q+1}^j)$ for j = 0to ϖ and $q = MAX_B - 1$ to -1 where $HK_{MAX_B}^j = R_j$. Then the BS stores all HK_{-1}^j s into $\eta_z^{i,j}$ for z = 1 to ϖ and j = 1 to ϖ . Finally, the BS stores R_j and MAX_B to $\eta_z^{i,z}$ for z = 1 to ϖ .

4.4.2 Deployment phase

The BS fixes the grid locations (there are exactly g grid points) over the field of interest. The *i*th group members are deployed around the *i*th grid point randomly.

4.4.3 Cluster Set-up Phase

Advertisement

At the start of a round r, a node in G_i is self-elected as CH_i using a cluster head election algorithm as described in [3]. The CH_i then broadcasts an **advertisement** message to the nodes in the group (every message contains a nonce to prevent replay attacks). Figure 4.3 shows an illustrative example of our advertisement where $\varpi = 5$ and w = 3. In this figure η_3^i is self-elected as CH_i and broadcast its **advertisement** message.

[advertisement] $CH_i \rightarrow G_i$:

$$ID_{CH_i}, nonce, MAC_{HK_0^{CH_i}}(ID_{CH_i}, nonce)$$

ID	НК	ID	Key	ID HK	ID	Key	ID	нк
η_2^i	$HK_{-1}^{\eta_2^i}$	4	d	$\eta_1^i HK_{-1}^{\eta_1^i}$	1	a c	η_1^i	$HK_{-1}^{\eta_1^i}$
	$HK_{-1}^{\eta_3^i}$	'	g j		9	į	η₃ ⁱ	$HK_{-1}^{\eta_{3}^{i}}$
$\eta_4{}^i$	$HK_{-1}^{\eta_4^i}$			$\eta_1^i \begin{pmatrix} 2 & b \\ 4 & d \end{pmatrix} = \begin{pmatrix} n_4^i & HK_{-1}^{n_4^i} \\ HK_{-1}^{n_4^i} & \eta_2^i \end{pmatrix}$			η_4^i	$HK_{-1}^{\eta_4^i}$
η₅ ⁱ	$HK_{-1}^{\eta_5^i}$			$\int_{0}^{12} \int_{0}^{4} \int_{0}^{4} \int_{0}^{1} \int_{0}^{1} H K_{-1}^{\eta_{5}^{4}}$			η₅ ⁱ	$HK_{-1}^{\eta_5^i}$
		1						
ID	нк			η ₃ '			ID	НК
η_1^i	$HK_{-1}^{\eta_1^i}$			$ID_{\eta_3^i}, nonce, MAC_{HK_0^{\eta_3^i}}(ID_{\eta_3^i}, nonce)/$			η_1^i	$HK_{-1}^{\eta_1^i}$
η_2^i	$HK_{-1}^{\eta_2^i}$	ID	Key		ID	Key	η_2^i	$HK_{-1}^{\eta_2^i}$
η₃ ⁱ	$HK_{-1}^{\eta_3^i}$	3	C 4	h ₄ i pi	6	f	η₃ ⁱ	$HK_{-1}^{\eta_3^i}$
η₅ ⁱ	$HK_{-1}^{\eta_5^i}$	9	i	η _s ,	7 8	g h	$\eta_4{}^i$	$HK_{-1}^{\eta_4^i}$

Figure 4.3: Advertisement Example

Joining

On receiving the advertisement message from the CH_i , each node η_k^i in G_i who has a common key¹ with the CH_i , then responds by unicasting a join-request message which contains the ID (or index value) of the common key, c, to the CH_i . Those who do not share a key removes $HK_{-1}^{CH_i}$ from their memory and have themselves sleep for this round. These sensor nodes will take part in the next round with a higher probability of becoming a CH. Figure 4.5 shows an example of this joining step.

 $[join-request]\eta_z^i \rightarrow CH_i:$

 $ID_{\eta_z^i}, ID_{CH_i}, c, nonce, MAC_{K_c}(ID_{\eta_z^i}, ID_{CH_i}, c, nonce)$

Confirmation

After receiving all the join-requests, the CH_i determines the maximum broadcast delay, MAX_D , sets up a TDMA schedule and then

¹By repeatedly generating an index value (upto w) using PRNG with ID_{CH_i} as a seed value, each node can find a common key

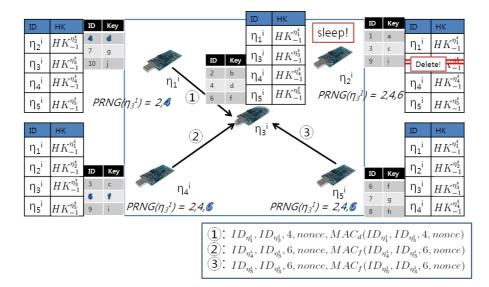


Figure 4.4: Join-request Example

broadcasts a **pre-confirmation** message to all the members in the group.

[pre-confirmation] $CH_i \rightarrow G_i$:

 ID_{CH_i} , nonce, Schedule, $MAC_{HK_0^{CH_i}}(ID_{CH_i}, nonce, Schedule)$

After MAX_D time elapsed, the CH_i broadcasts a confirmation message to all the members.

[confirmation] $CH_i \rightarrow G_i$:

$$HK_0^{CH_i}$$

By verifying whether $H(HK_0^{CH_i})$ is equal to the stored $HK_{-1}^{CH_i}$ or not, the member nodes in G_i can authenticate both the **advertisement** and **pre-confirmation** messages, and shut-off their transmitters at all times except the their scheduled time and the CH_i 's broadcasting time; thus, save their scarce energy.

Figure 4.6 shows a frame of our protocol. To the shake of simplicity, we assume MAX_B is two times bigger than the number of frames in a

	ID	HK ID Key ID	
ID HK ID Key	ni		НК
$\eta_2^{i} HK_{-1}^{\eta_2^{i}} 4 d$	η_1^i	n_1	$HK_{-1}^{\eta_1^i}$
$\eta_{3^{i}} HK_{-1}^{\eta_{3^{i}}}$ 10 j	<u>10 κey</u> η ₃ '	$HK_{-1}^{\eta_3^*}$ 9 i η_4^{i}	$HK_{-1}^{\eta_4^i}$
$\eta_4^i HK_{-1}^{\eta_4^i}$	η_1^i $\frac{2}{4}$ $\frac{b}{d}$ $\frac{n_4^i}{n_4^i}$	$\begin{array}{c c} HK_{-1}^{\eta_4^*} & \eta_2^{\chi_1} \\ \hline HK_{-1}^{\eta_6^*} & \eta_2^{\chi_1} \\ \end{array}$	$HK_{-1}^{\eta_5^i}$
$\eta_5^i HK_{-1}^{\eta_5^i}$	f Ŋs ⁱ		
ID HK	η ₃ i	D	НК
$\eta_1^i H K_{-1}^{\eta_1^i} I D_i$	$_{H_3^i}, nonce, Schedule, MAC_{HK_0^i}$	$\eta_3^i(ID_{\eta_3^i}, nonce, Schedule)$ η_1^i	$HK_{-1}^{\eta_1^i}$
$\eta_2^i HK_{-1}^{\eta_2^i}$ ID Key		η_2^{i}	$HK_{-1}^{\eta_2^i}$
$\eta_{3}^{i} HK_{-1}^{\eta_{3}^{i}} \xrightarrow{3 c} 6 f$	η ₄ i	n_{r}^{i} f η_{3}^{i}	$HK_{-1}^{\eta_3^i}$
$\eta_{5}^{i} HK_{-1}^{\eta_{5}^{i}}$ 9 i		η_5' 7 g η_4'	$HK_{-1}^{\eta_4^i}$

Figure 4.5: Pre-confirmation Example

round. In the figure, each slot can be assigned to any member nodes.

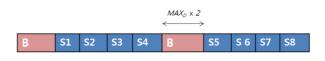


Figure 4.6: Frame Structure of Our Protocol

4.4.4 Steady-state Phase

The set-up stage is followed by a much longer steady-state, where the members sense data from the environment and send the data with a MAC generated by its key to the CH, which then verifies these data, performs data aggregation, and sends it to the BS using its shared key. In this phase, member nodes can securely transmit their data to their CH_i

[Node-to-CH] $\eta_z^i \to CH_i$:

 $ID_{\eta_z^i}, ID_{CH_i}, nonce, Data, MAC_{K_c}(ID_{\eta_z^i}, ID_{CH_i}, nonce, Data,)$

Additionally, the CH_i can broadcast at maximum $MAX_B - 1$ queries (or commands) to its member which is protected by HK_z^{i,CH_i} where $0 < z < MAX_B$.

[authenticated-broadcast] $CH_i \rightarrow G_i$:

 ID_{CH_i} , command, nonce, $MAC_{HK_*^{CH_i}}(ID_{CH_i}, command, nonce)$

After MAX_D time elapsed, the CH_i broadcasts a broadcast-confirmation message to its members.

[broadcast-confirmation] $CH_i \rightarrow G_i$:

$$HK_{z}^{CH_{i}}$$

On receiving the authenticated-broadcast message, η_z^i first stores the message into its memory until MAX_D time elapsed from its arrival time. If η_z^i receives the broadcast-confirmation message on time, it first verifies $HK_z^{CH_i}$ by comparing $H^{x-z}(HK_z^{CH_i})$ with $HK_x^{CH_i}$ which is the latest authentic value received from the CH_i . If the verification succeeds, η_z^i replaces $HK_x^{CH_i}$ with $HK_z^{CH_i}$ and then verifies the [broadcast-confirmation] message. If any of verifications is failed, η_z^i just drops the messages.

The last broadcast slot is dedicated to re-initiate the OHC of the CH_i for the next time which the CH_i will become a CH again. The CH_i generates a random number, R and an OHC by repeatedly hashing R, $HK_q = H(HK_{q+1})$ for $q = MAX_B - 1$ to -1 where $HK_{MAX_B} = R$. Then the CH_i stores R into its memory and broadcasts a reinitiation message to its members.

[reinitiation] $CH_i \rightarrow G_i$:

$$ID_{CH_i}, nonce, HK_{-1}, MAC_{HK_{MAX_B}^{CH_i}}(ID_{CH_i}, nonce, HK_{-1})$$

After MAX_D time elapsed, the CH_i broadcasts a confirm-reinitiation message to its members (additionally, the CH_i transmits this message to BS to support node addition which is protected by a shared key between the CH_i and the BS). [confirm-reinitiation] $CH_i \rightarrow G_i$:

 $HK_{MAX_B}^{CH_i}$

If the verification of the message succeeds, member nodes store HK_{-1} into its memory as $HK_{-1}^{CH_i}$ which will be used later round when the CH_i becomes a CH again.

4.5 Analysis

4.5.1 Connectivity and Resiliency

Since our scheme utilizes the same key pre-distribution protocol of GS-LEACH, it provides the same connectivity and resiliency of GS-LEACH.

4.5.2 Local Broadcast Authentication

In our scheme, each CH has its own local OHC. Using it, a CH can broadcast any queries and commands to its members in an authenticated manner. If an adversary tries to launch DoS attack by flooding malicious messages in a specific cluster, it could not affect the other clusters as we only granted localized broadcasting.

4.5.3 Comparison

Table 4.2 shows the comparison of our protocol with GS-LEASH. We have not simulated our scheme yet, but we strongly believe that our scheme outperforms GS-LEACH as broadcasting a message to the members is more efficient than unicasting a message to all the members. Our scheme requires ϖ hash values to be stored in each node but it occupies a small amount of the memory of sensor nodes, since the number of sensor nodes, ϖ , in a cluster is relatively small (usually 10 to 100). To

Overhead	Ours	GS-LEACH
Communication	(72 + Sch)BRO +	$4BRO + \varpi P_{conn}(52 +$
(Bytes)	$28\varpi P_{conn}UNI$	Sch)UNI
Computation	$\varpi P_{conn}(4M + H + wP) + 2M$	$\varpi 4P_{conn}M + wP$
Memory	$w + \varpi$	w

Table 4.2: Comparison with GS-LEACH

Sch: the size of Schedule, BRO: Broadcasting, UNI: Unicasting M: MAC computation, H: Hash computation, P: PRNG computation

evaluate our protocol, we assume that broadcasting a byte, unicasting a byte, and receiving a byte consume $0.0167 \ mWs$, $0.003 \ mWs$, and $0.005 \ mWs$ respectively. In addition, we use SHA1 which consumes $0.0059 \ mWs$ per a byte and HMAC-SHA1 which consumes $0.0168 \ mWs$ per a byte as a hash (or PRNG) function and a MAC function respectively². Figure 4.7 and figure 4.8 show the comparison with GS-LEACH with respect to the total energy consumption in each round and the memory overhead in a sensor node when our protocol is only used to set-up clusters.

²We utilize the experimental result of the energy consumptions from [29].

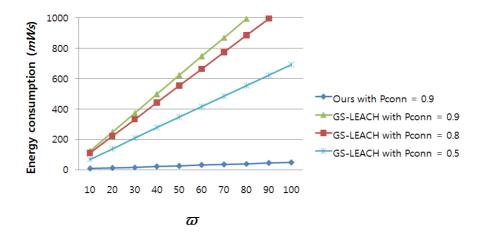


Figure 4.7: Comparison of Energy Overhead in Each Round

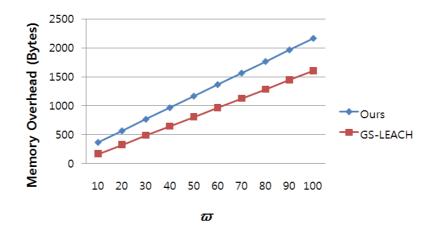


Figure 4.8: Comparison of Memory Overhead in a Sensor Node

V. User Authentication with Privacy Protection

5.1 Motivation

In WSNs, user authentication schemes are classified into two categories: public key-based user authentication [4, 5, 33, 34] and secret key-based user authentication [2, 35]. Public key-based user authentication schemes assume that public key operation is feasible for even a tiny sensor node [16, 32]. All of the public key-based schemes utilize a certificate which is generated by BS. In general, however, public key operation is much more slower and consume much more energy than secret key operation. Thus, if an attacker launches DOS attack, the attacker can easily exhaust the limited energy of sensor nodes. Many secret key-based user authentication schemes adopt Blundo's key pre-distribution scheme [1]. Although these schemes are more efficient than the public key-based schemes, they also have some problems: once authenticated, always authenticated [2]; the trajectory of a user must be predetermined [35].

In addition, all the above mentioned schemes could violate user privacy, because they reveal the ID of a user [2, 4, 5, 34] and only consider the characteristics of sensor node and the computing power of user, but do not consider the other abilities of user. To acquire environmental information gathered by sensor nodes, a user will carry a mobile device, such as a mobile phone, a PDA, or a laptop computer. Before collecting the information from sensor nodes, this device should verify the user via password or biometric information. After authenticating the user, the device should proceed to an authentication process with its local sensor nodes so that the device can collect the environmental information.

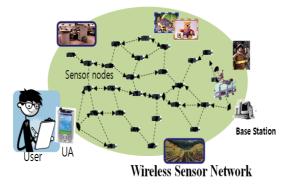


Figure 5.1: User Agent and Wireless Sensor Network

From now on, we name this device User Agent (UA). Fig. 5.1 shows the relationship between UA and a WSN.

In general, UA can directly communicate with BS through existing mobile communication system. For example, a mobile phone is able to access the Internet almost everywhere. In this case, the mobile phone can send its current position to BS and then the BS directly provides the environmental information to the mobile phone, but it has the following problems:

- Firstly, if the data produced by sensor nodes is intermittently collected by the BS, then this information provided by the BS may not be up-to-date.
- Secondly, in order to provide fresh data to user, the BS has to broadcast queries which are targeted to the user's local sensor nodes. This expends the energy of the other nodes which relay these queries.

To resolve these problems, user needs a means to directly communicate with sensor nodes and before beginning this communication, sensor nodes must authenticate the user and observe activities of the user to protect themselves from malicious attacks. In addition, a user authentication scheme must conceal the information of the user during the authentication process. If the information is revealed in the authentication step, it could violate user privacy. An attacker, for example, can easily monitor the whereabouts of the users by eavesdropping authentication messages.

Moreover, most schemes assumed that WSN has a time synchronization mechanism by which they create a certificate [4, 5, 33, 34] or a pair-wise key [35]. Since time synchronization consistently consumes the limited energy of sensor nodes, a scheme is more efficient and reliable than others if the scheme does not depend on any time synchronization protocol.

In this chapter, we propose an efficient and secure user authentication scheme which protects the privacy of the user and utilizes the local time clock of sensor nodes and additional advantages of the user, *i.e.* the communication ability as well as the computation power of UA. The merits of our scheme are as follows:

- 1. It reduces the energy consumption of sensor nodes as it does not need any public key operation.
- 2. It does not require any time synchronization mechanism.
- 3. It protects the privacy of the user.

5.2 Assumption

WSN

It is considered to be an *ad-hoc* network which consists of a large number of sensor nodes and few base stations. Each sensor node can be either automatically configured into a network or not, since some of sensor nodes could be intermittently disconnected with the network due to their environmental condition. We do not consider time synchronization because it constantly consumes the limited energy of all the sensor nodes in the network.

- Sensor node: Each sensor node continuously collects environmental data such as temperature, humidity, seismicity, *etc* and provides the data only to legitimate user or other sensors. It means that sensor node must verify the source of the request. We assume that every sensor node has limited resources and limited energy source and has an internal clock. Some examples are Telosb and MicaZ [27]. Symmetric key operation is much more efficient and faster than any of public key operation and does not affect the life time of a sensor node.
- **Base Station** (BS): It is a device which collects the information provided by sensor node and is assumed to manages WSN. It is always trusted by all the sensor nodes and users. BS must be secured against any type of attacks. It helps user and sensor node to authenticate each other by generating a ticket which includes a pair-wise key between a user and a sensor node, its expiration time, an access control list of the user, *etc*.

User

A user is a person who wants to utilize the information of his or her local sensor nodes in order to make his or her everyday life much more comfortable than before. The user has a mobile device which is able to communicate with WSN. We call this mobile device as user agent. Before the user agent proceeds to a user authentication process with its local sensor nodes, it must authenticate the user via password or biometric information.

User Agent (UA)

It is a mobile device, such as a mobile phone or a PDA with a radio module able to communicate with sensor nodes. It can communicate with sensor nodes only after authenticating its owner, first. We assume that mobile phone can communicate with BS directly through its mobile network and PDA can do it via its *WLAN*. This assumption is acceptable because these kinds of networks are now widely used in the world. Therefore, We assume that a secure *out-of-band* channel is established between UA and BS before starting user authentication processes between the UA and its local sensor nodes.

5.3 Protocol in Details

We adopt Kerberos [17] which provides both entity authentication and key establishment using secret key-based encryption techniques with a trusted third party and remodel it to be suitable for our assumption since Kerberos reveals the ID of user and heavily depends on time synchronization. Table 5.1 describes the notation used in the details of our proposed user authentication protocol.

Step 1. The UA generates a random number, R_{UA} , and hashes its ID concatenated with the random number. Then, the UA broadcasts the hashed value.

$$UA \to N_i : H(ID_{UA}, R_{UA})$$

Step 2. On receiving the above message, each sensor node encrypts its local time and the received value using K_{BS,N_i} and then sends the encrypted value with its ID_{N_i} to the UA.

$$N_i \rightarrow UA : ID_{N_i}, E_{K_{BS,N_i}}(T_{N_i}, H(ID_{UA}, R_{UA}))$$

Notation	Description
A_x	Communication range of x
AL_x	Access control list of x
$E_{K_{x,y}}(*)$	Secret key-based encryption with a key, $K_{x,y}$
H(msg)	Hash value of msg
ID_x	Identity of x
$K_{x,y}$	Shared secret key between x and y
$MAC_x(msg)$	Message authentication code of msg using x as a key
N_x	x^{th} sensor node in WSN
R	Random number
T_{Exp}	Expiration time
T_x	Current time of x (local time stamp)
$TK_{x,y}$	Ticket for x and y generated by BS
\Rightarrow	Secure channel
\rightarrow	Insecure channel

Table 5.1: Notation used in Our User Authentication Protocol

Step 3. The UA sends R_{UA} and the received message to the BS through the secure channel.

$$UA \Rightarrow BS : R_{UA}, ID_{N_i}, E_{K_{BS,N_i}}(T_{N_i}, H(ID_{UA}, R_{UA}))$$

Step 4. The BS decrypts $E_{K_{BS,N_i}}(T_{N_i}, H(ID_{UA}, R_{UA}))$ and hashes ID_{UA} , which the BS have already known in our assumption, concatenated with R_{UA} and then compares it with the decrypted message. If the values are equal, the BS generates a ticket based on T_{N_i} , the right of the user, and then sends the ticket to the UA.

$$BS \Rightarrow UA : ID_{N_i}, T_{N_i}, T_{Exp}, AL_{UA}, K_{UA,N_i}, TK_{UA,N_i}$$
$$TK_{UA,N_i} = E_{K_{BS,N_i}}(H(ID_{UA}, R_{UA}), T_{N_i}, T_{Exp}, AL_{UA}, K_{UA,N_i})$$

Step 5. The UA generates a random number, R'_{UA} , and encrypts it using K_{UA,N_i} . Then, the UA sends it with the ticket to the sensor node, N_i .

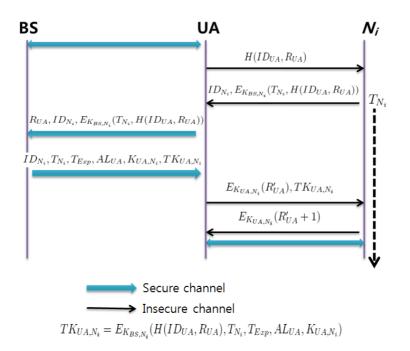


Figure 5.2: Our User Authentication Protocol

$$UA \to N_i : E_{K_{UA,N_i}}(R'_{UA}), TK_{UA,N_i}$$

Step 6. The N_i authenticates the UA after verifying the received ticket and decrypts $E_{K_{UA,N_i}}(R'_{UA})$ using K_{UA,N_i} . After that, the N_i encrypts $R'_{UA} + 1$ using K_{UA,N_i} and sends it to the UA.

$$N_i \rightarrow UA : E_{K_{UA,N_i}}(R'_{UA}+1)$$

On receiving above message, the UA verifies whether the N_i knows the shared secret, K_{UA,N_i} , or not. If the verification is successfully finished, the UA can request information from N_i in the period between T_n and $T_n + T_{Exp}$ using the key, K_{UA,N_i} . Fig. 5.2 shows the overall protocol.

Scheme	Benenson et	Wang and Li	Zhang et al.	Our scheme
	al.[5]	[33]	[35]	
Sensor node	2PK	2H+1SK+3PK	1H	4SK
Privacy protection	No	No	No	Yes
User trajectory	Random	Random	Predetermined	Random
Time synchroniza-	Needed	Needed	Needed	Not Needed
tion				
Connection with	Not Needed	Not Needed	Not Needed	Needed
BS				

Table 5.2: Comparison with other User Authentication Protocols

PK: Public key computation, SK: Secret key computation, H: Hash computation

5.4 Security Analysis

Our protocol is similar to the Kerberos protocol. For its proper operation, however, Kerberos heavily depends on the *network-wide* time synchronization which is acceptable in the typical distributed computing environment, but not in WSNs as it consists of a large number of resource-constrained sensor nodes. Our scheme does not depend on the time synchronization because it uses the local time stamp of sensor node to which the UA wants to authenticate itself. Table 5.2 shows the comparison with other schemes.

5.4.1 Mutual Authentication

The BS is a third party trusted by both UA and sensor node. For user authentication, it issues a ticket according to the ID of the user, ID_{UA} , and the sensor node, N_i . Since only legitimate user can request a ticket and legitimate sensor node can share a secret with the BS, both the user and the sensor node authenticate each other according to the ticket in the authentication Step 5 and Step 6. Even if an attacker compromise a few number of sensor nodes, it does not damage any others authentication processes.

5.4.2 Privacy Protection

Most previous works do not consider the privacy of the user, but it must be deliberated. Since all messages are broadcasted on the air in WSNs, an attacker can easily eavesdrop the messages. It can violate user privacy such as monitoring the whereabouts of the user. To protect the user privacy in our scheme, the ID of user is always hashed with a random number, H(ID, R), for hiding the ID before broadcasting. Receiving the broadcasted hashed value, the sensor node, N_i , starts to verify the user. Even after finishing the user authentication, the sensor node does not know who the user is because it identifies the user with the hash value, *i.e.*, none of the sensor nodes know the real ID of the user. This prevents user privacy violation.

5.4.3 Efficiency

Our proposed scheme only uses four secret key operations in a sensor node. Since secret key operation is generally much faster and more efficient than any public key operation, it reduces the energy consumption of sensor nodes. Although Zhang *et al.*'s scheme only require one hash operation per a sensor node, as mentioned before this restricts the trajectory of users.

5.4.4 Access Control

A sensor node processes a request of a legitimate user only if the request is allowed to the user based on the access control list of the user, AL_{UA} . It protects the sensor node from careless queries of the legitimate user and conserves the energy of the sensor node.

5.4.5 No Time Synchronization

Kerberos and other authentication schemes that we mentioned before heavily depend on time synchronization. In WSNs, the time synchronization continuously consumes the limited energy of all the sensor nodes. Even more, if an attacker destroys some parts of WSN, the time synchronization will be not provided for a while. In this case, Kerberos and other schemes are not operated properly, but our scheme is not affected. Our scheme does not need any time synchronization protocol at all because it creates tickets based on the local time stamp, T_{N_i} , of the sensor node, N_i . Thus, our scheme can conserve the limited energy of sensor node and continue to operate even when the network configuration is disintegrated.

5.5 Enabling Localized Multi-user Broadcast Authentication

In this section, we briefly describe how to combine our clustering protocol and user authentication protocol to enable localized multi-user broadcast authentication (MBA). Since we have constructed a secure clustering protocol with *in-cluster* broadcast authentication, we can utilize it as the underlying network protocol of our user authentication protocol. Figure 5.3 describes the sequence of our localized MBA protocol. The difference is that now a user has to authenticate himself to a cluster head as well as a normal node. Then, the user sends a broadcasting request to the cluster head and the head broadcasts the request on behalf of the user. We assume that the communication range of the user is limited, so the user could only broadcast his/her queries and commands in his/her surroundings to which the signal of the user' device is reached (A_U denotes the communication range of the user).

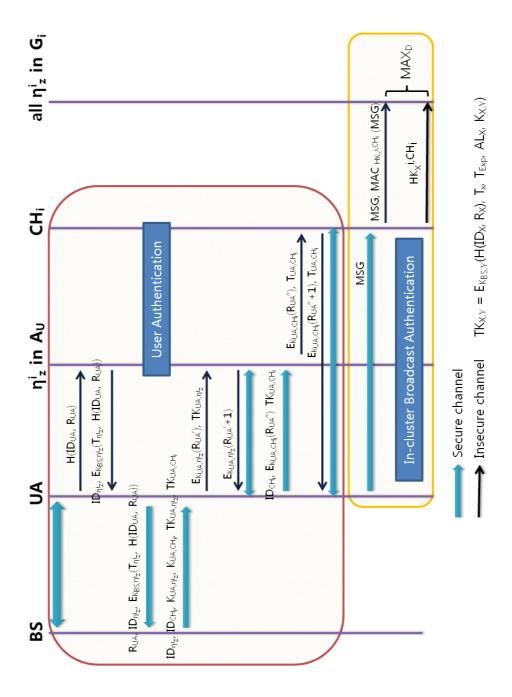


Figure 5.3: Localized Multi-user Broadcast Authentication

VI. Summary and Conclusion

In this work, we have provided a modified clustering protocol to enable localized broadcast authentication in a cluster and a user authentication protocol with privacy protection exploiting existing mobile networks. Our proposed secure clustering protocol provides secure Node-to-CHcommunication and localized broadcast authentication in a cluster more efficiently comparing with GS-LEACH and our user authentication protocol provides an efficient secure Node-to-User communication with the anonymity of users.

By combining these two protocols, we can provide an efficient localized multi-user broadcast authentication mechanism.¹ We strongly believe that localized broadcasting is enough to the users since their excessive and careless message dissemination into the entire network could easily destruct the operation of the networks.

We have a lot of remaining work to be done. At first, we have to carefully simulate our clustering protocol. We should consider an efficient node addition mechanism, and design and efficient node compromise detection/recovery mechanism.

¹Our clustering protocol can be combined with any other user authentication protocols to bootstrap in-cluster broadcasting of users' messages.

무선 센서 네트워크에서의 지역적인 다중 사용자 방송형 인증 기법에 관한 연구

윤성준

무선 센서 네트워크 (Wireless Sensor Network, WSN)는 유비쿼터 스 시대를 앞당길 가장 핵심적인 기술 중 하나로 많은 연구가 진행되 고 있다. WSN은 수백에서 수천 개의 자원 제약적인 소형의 센서 노드 들과 소수의 안전하고 자원이 풍부한 기지국으로 구성된 무선 Ad-hoc 네트워크로써 각각의 센서 노드들은 자신의 주변 환경 데이터를 획 득하고 정제하여 이를 기지국에 제공한다. 기지국은 센서 노드들로 부터 획득한 데이터를 보다 유용한 정보로 가공하여 이를 필요로 하 는 사용자들에게 제공한다. 기존의 유/무선 네트워크 (인터넷, 모바 일 Ad-hoc 네트워크)와는 다르게, WSN은 자동화된 원격 데이터 획득 을 위해, 전장 감시에서 빌딩 관리 응용에 이르기까지 다양한 분야에 서 연구가 수행되어오고 있다 [7].

WSN은 기존의 Ad-hoc 네트워크와 많은 유사점을 가지고 있지만 센서 노드들이 Ad-hoc 네트워크에서 고려되는 무선 단말에 비해 보 다 자원 제약적이며, 네트워크의 규모가 훨씬 크다는 차이점을 가지 고 있다. 따라서 무선 Ad-hoc 네트워크를 위해 고안된 보안 기법들을 WSN에 직접 적용되기에는 많은 문제점이 존재한다.

WSN에서 방송형 인증은 네트워크 전체적으로 확산되는 소프트웨 어의 갱신, 질의 등의 메시지를 센서 노드가 효율적으로 인증하기 위 한 기법이다. 초기에 제안된 방송형 인증 기법들은 주로 비밀키 암호 화 시스템 (Secret Key Cryptosystem, SKC)에 기반을 두어 설계되었 으며, 소수의 송신자 (주로 기지국)만을 지원한다. 최근에는 다수의 송신자 (모바일 유저)를 지원하기 위해 공개키 암호화 시스템 (Public Key Cryptosystem, PKC)을 이용한 기법들이 제안되고 있다.

본 논문에서 다루는 주제는 무선 센서 네트워크를 위한 안전한 클 러스터링 기법과 사용자 인증 기법이다. 제안하는 클러스터링 기법 은 클러스터 내부적으로 방송되는 메시지를 센서 노드가 효율적으로 인증할 수 있는 방법을 제시하며, 사용자 인증 기법은 올바른 네트워 크 사용자와 센서 노드 사이에 안전한 통신 채널을 효율적으로 구축 하는 방법을 제시한다.

제안한 두 기법을 조합하므로써, 사용자가 자신의 인접 클러스터 에 메시지를 방송하고, 이를 센서 노드가 효율적으로 인증하는 방법 을 제시한다. 이러한 조합은 비밀키 암호화 시스템 (해쉬, 메시지 인 증 코드 포함)만을 사용하기 때문에 기존의 공개키 암호화 시스템을 이용해 설계된 다중 사용자 방송형 인증 기법에 비해 효율적이다.

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Acknowledgement

I would like to express my sincere gratitude to Prof. Kwangjo Kim, my academic advisor, for his constant direction and support. Without his guidance, I could never have carried out my research in ICU. Special thanks are also due to Prof. Young-Hee Lee and Prof. Byungcheon Lee for their generosity and agreeing to serve as advisory committee members. I would also like to thank all members of our Cryptology and Information Security Laboratory: Hyunrok Lee, Zeen Kim, Kyusuk Han, Vo Duc Liem, Konidala Munirathnam Divyan, Dang Nguyen Duc, SungChul Heo, Sungbae Ji, Jangsung Kim, Minhea Kwak, Hanyoung Noh, Hyewon Park, and Hyeran Mun for giving me a lot of interests and good advices during the course of my study. I also thank Hyunkyoung Park for helpful support as a staff member.

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